# Dysplasia and epithelial polyps in gastrointestinal tract

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# Dysplasia and Polyps of GI Tract

Dysplastic lesions, preceding invasive colonic neoplasm can present as flat or elevated lesions, are relevant due to:

High incidence in daily practice

- It is an evolving area with diagnostic criteria frequently modified that result in low reproducibility
- It is a key area for prevention and screening of CRC

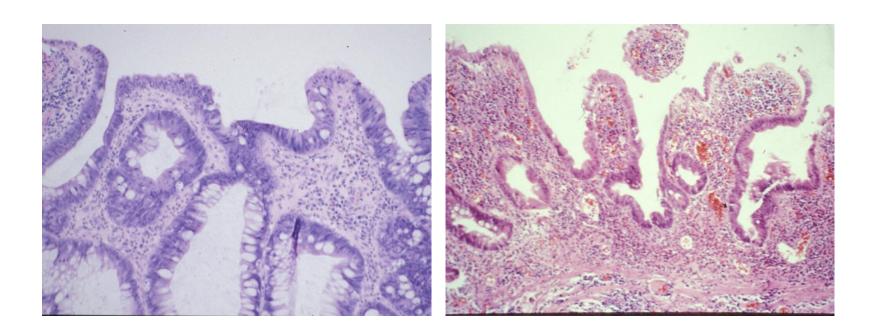
> The main controversial areas are:

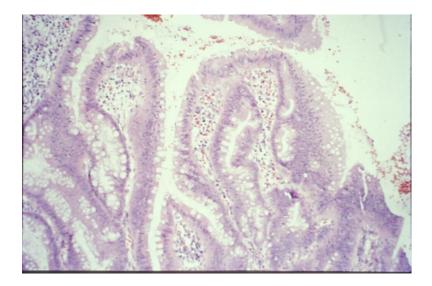
- >In the context of inflammatory bowel disease, and
- Grading, subtyping, and staging of polyps

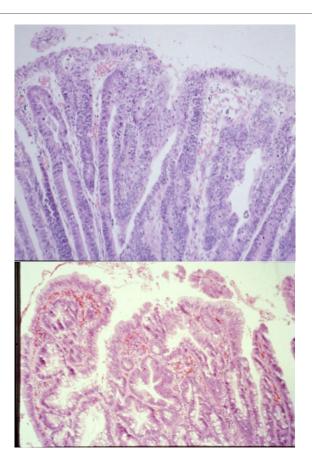
# Colitis-associated dysplasia

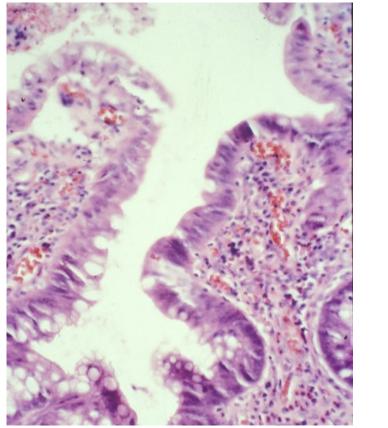
# Dysplasia in IBD Gross Features

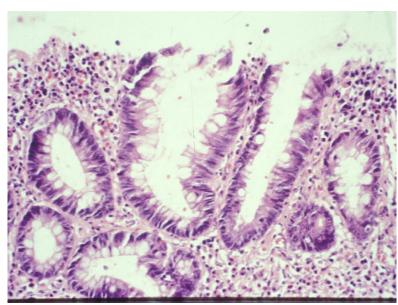
# FlatRaised (DALM)

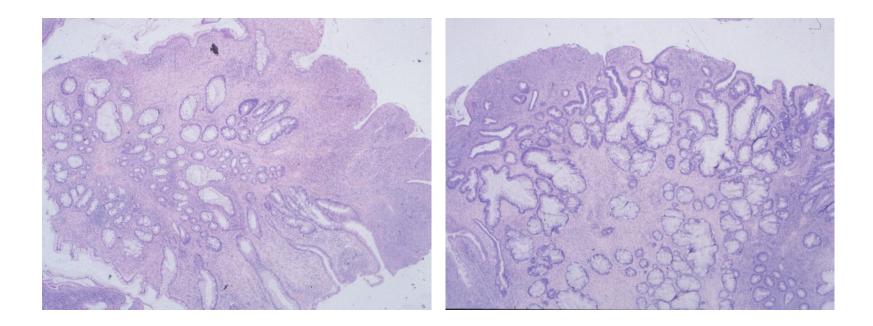


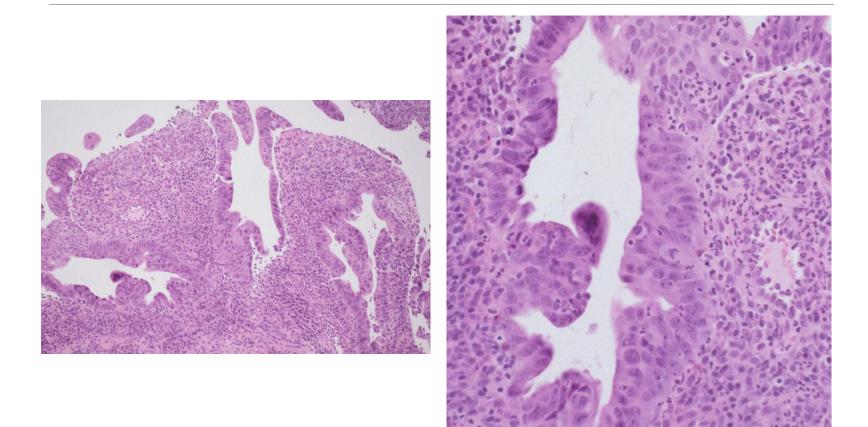


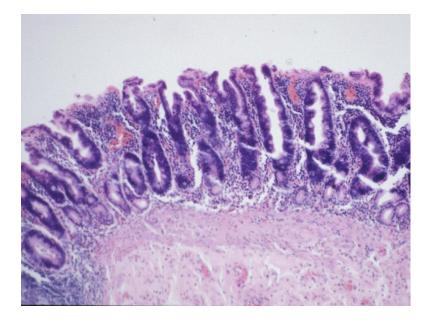


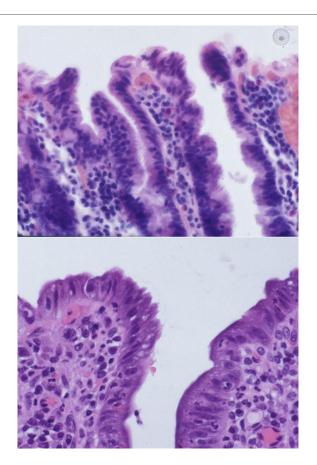


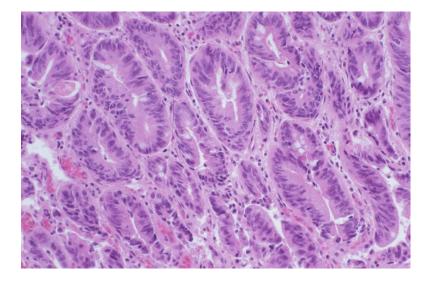


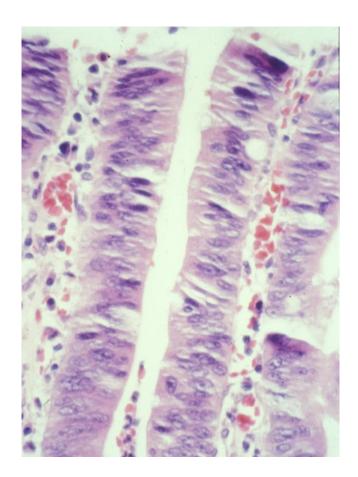


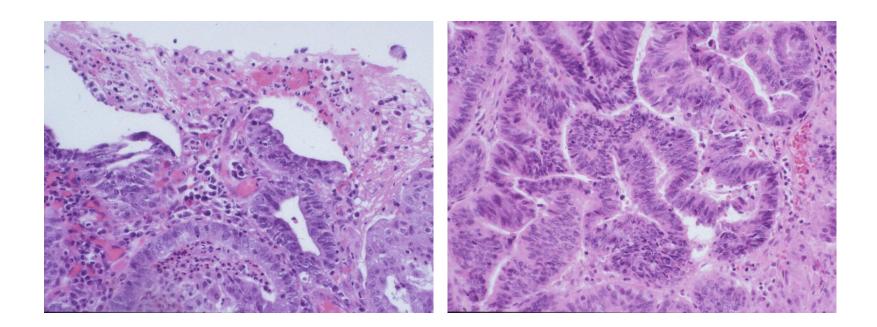












#### Flat Dysplasia Natural History (Bernstein et al, Lancet 1994;343:71)

1. Low grade

- Co-existent carcinoma:	9%
- Progression to HGD/CA: (5 year predictive value )	30-54%
2. High grade	
- Co-existent carcinoma:	40-67%
<ul> <li>Progression to CA:</li> <li>(2-5 year predictive value)</li> </ul>	40-90%

#### Colectomy for Low Grade Dysplasia

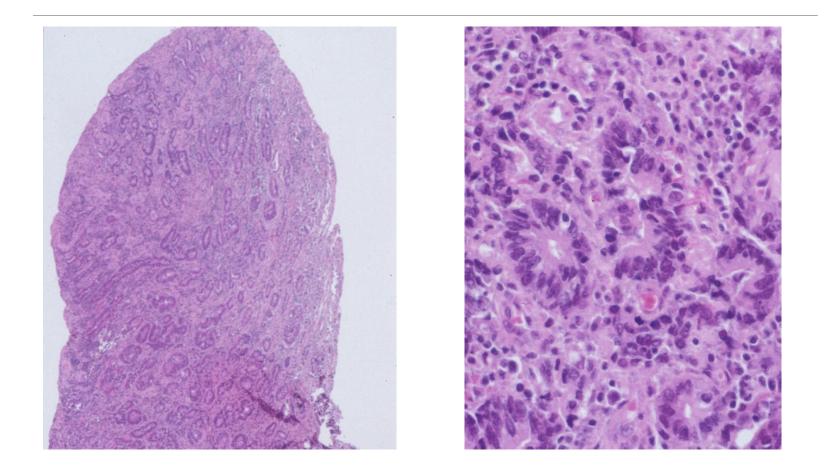
Author	Data	
Connell 1994	LGD to HGD (54%, 5 years)	
Taylor 1992	LGD in CA colectomy (34%)	
Bernstein 1994	CA in LGD colectomy (19%)	
Woolrich 1992	LGD to CA (18%, 6 years)	

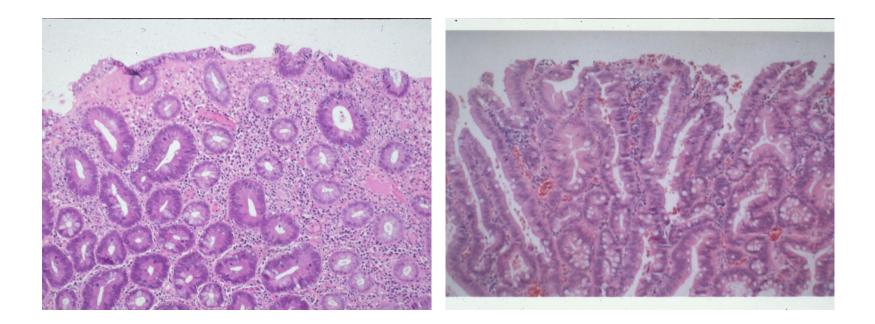
# Adenoma vs. Polypoid Dysplasia

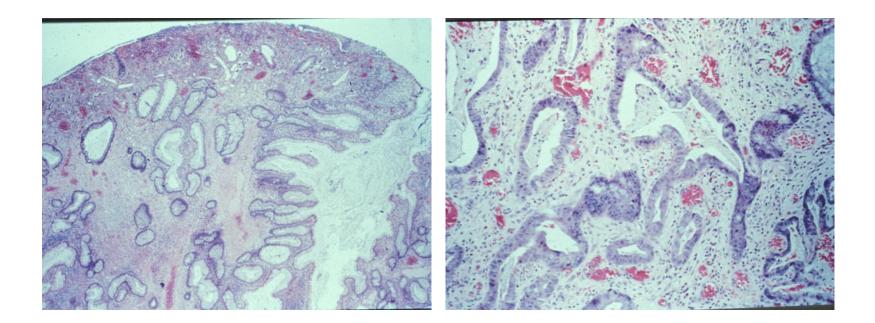
- 1. Morphology
- 2. Immunohistochemistry
- 3. Molecular defects

#### Polypoid Dysplasia and Adenomas in Inflammatory Bowl Disease

TORRES, ANTONIOLI, ODZE AM J SURG PATHOL 1998;22(3):275-284







# Dysplasia in Crohn's Disease

- Risk of Colon Cancer Similar to UC
- Involved (SI and colon) and > Dysplasia adjacent to Ca in uninvolved areas
- > Dysplasia-carcinoma sequence
- > Dysplasia morphologically similar UC
- Endoscopic surveillance controversial

- 40-100%
- More common close to tumor
- 2-16% of patients without carcinoma

## Dysplasia in Crohn's Disease

30 Cases Crohn's Adenocarcinoma
27% SI, 73% colon (all involved)
Dysplasia adjacent to Ca: 87%
Dysplasia distant to Ca: 41% (75% in UC)

Sigel et al, Am J Surg Pathol 23:651,1999

# Dysplasia in Ulcerative Colitis

>Unequivocal neoplastic epithelium

Marker of malignancy risk

Present in 90% (close and distant) of carcinomas

Any portion of colon (parallels cancer)
Single, multiple, diffuse

>Flat or elevated (DALM)

# Dysplasia in UC

 Dysplasia in ulcerative colitis is unequivocally neoplastic epithelium with the potential to progress to carcinoma
 Flat dysplasia: Low grade or High grade
 Raised lesions (dysplasia-associated lesion or mass – DALM): Low grade or High grade

#### Dysplasia/Ca in Ulcerative Colitis Risk Factors

- Disease duration (> 10 years)
- Disease extent
- Primary sclerosing cholangitis
- Disease severity
- Early age of onset?
- Family history of colon cancer?
- **Folate deficiency**?

- 1. Dysplasia
  - A. 5% incidence/10 years
  - **B.** 25% incidence/20 years
- 2. Carcinoma
  - A. 3-43% incidence 25-35 years
    - A. 5-10% incidence/20 years
    - B. 10-20% incidence/30 years
  - **B.** 1-2%/year after 10 years

# Colonic Dysplastic Lesions

Sporadic adenomas in non-UC patients are managed by simple polypectomies

**>**UC patients:

- Do they get sporadic adenomas?
- Can we tell the sporadic adenomas from DALMs?
- >What happens to UC patients with adenoma-like lesions?

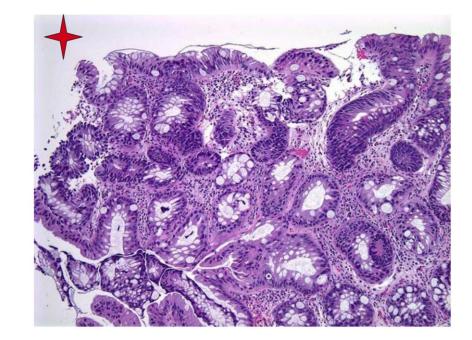
Adenoma-like lesions in UC patients are dysplastic polyps that resemble sporadic adenomas and occur in the region of colitis

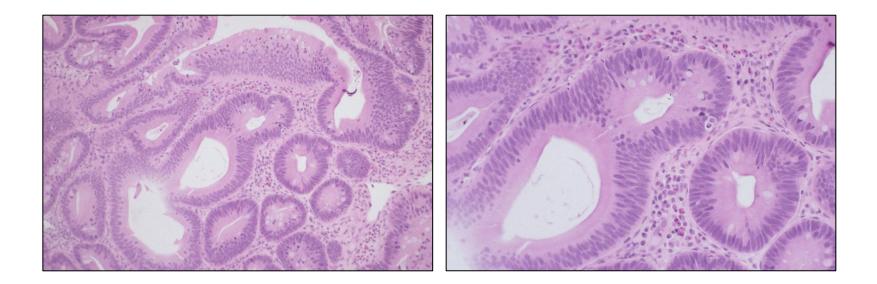
# Dysplasia in IBD

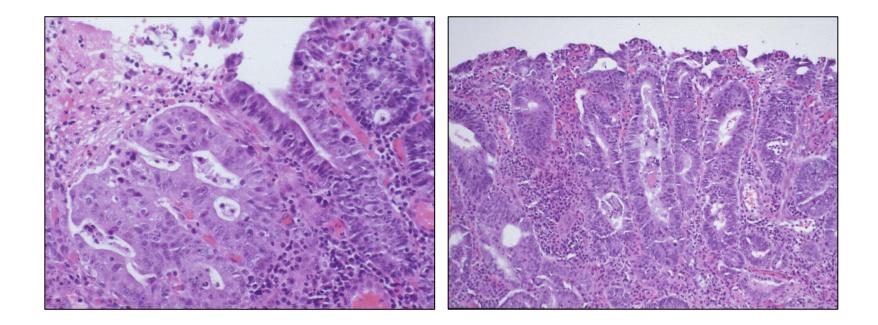
Before the notion of "adenoma-like lesion, we used these features to identify a sporadic adenoma in UC patients.

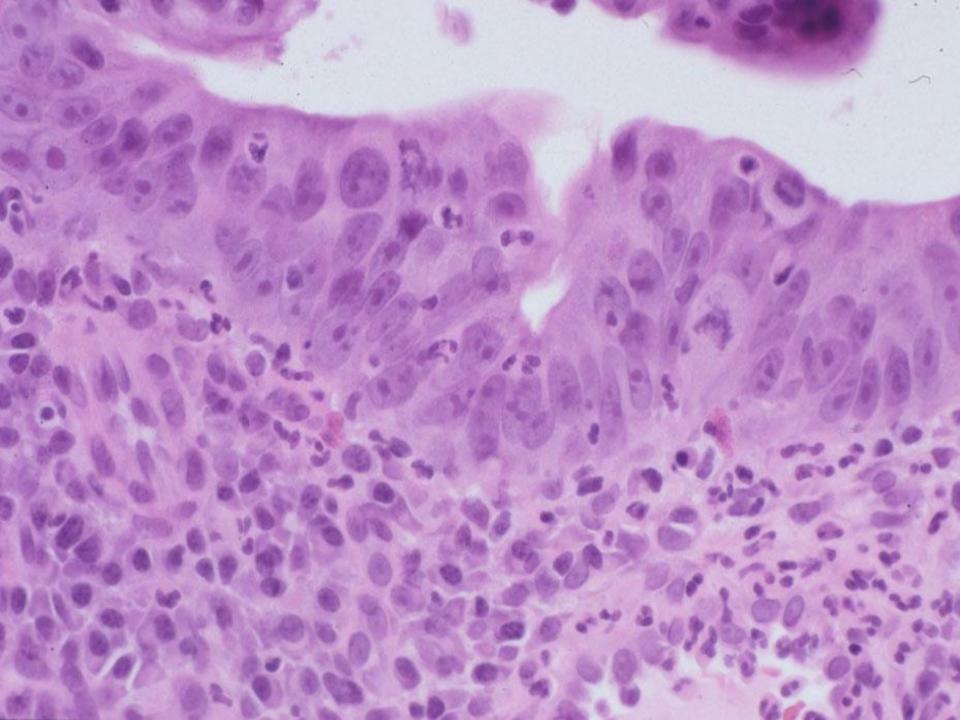
- Older patient, >60 yo
- · Quiescent disease
- No flat dysplasia
- Usually not villous
- No mixture of benign and dysplastic crypts at surface

Presence in colon proximal to extent of UC





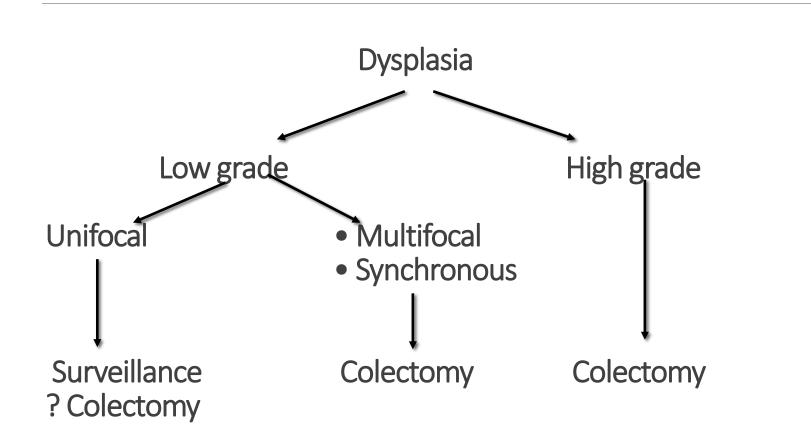




#### UC-Associated Dysplasia Interobserver Variability

Author	# Specimens	<b>#Pathologist</b>	K value
Odze (2001)	38	4	0.4
Melville (1990	) 207	5	0.2-0.5
Dixon (1988)	100	6 (pairs)	0.4

#### Management



# IBD vs Sporadic Neoplasia

Gene/Locus	IBD Neoplasia	Sporadic Neoplasia
KRAS	Early, frequent	Early, frequent
<i>TP53</i> (17p)	Early, 44%	Late, 20%
17p LOH ( <i>TP53</i> )	Early, 85%	Late, 20-30%
9p LOH ( <i>CDKN2A</i> )	Early, 50%	Rare, 50%
3p LOH	Early, 50%	Rare
<i>APC</i> (5q)	Late 6%	Early, 75%
CDKN1A p21 <sup>WAF1</sup>	Early, 90%	Late, 30%

#### Adenoma vs Polypoid Dysplasia Value of Impox

# Adenoma: β catenin, Bcl-2 Polypoid Dysplasia: P53<sup>†</sup> Non sensitive and non-specific

# DALM

- 1. Adenoma-like
  - Sporadic ("Adenoma")
  - IBD-associated
    - ("Polypoid dysplasia")
- 2. Non Adenoma-like

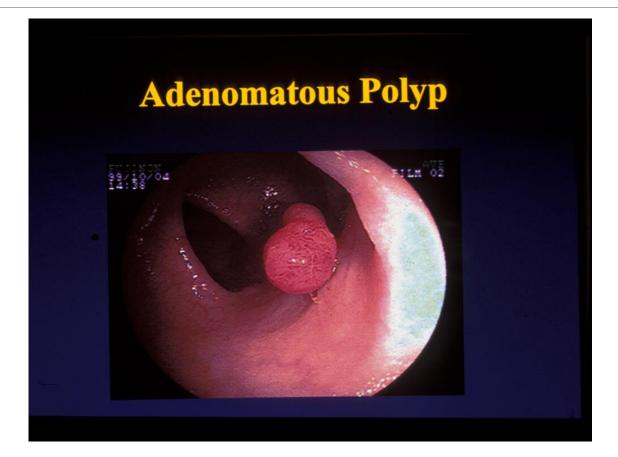
DALM

#### **ADENOMA LIKE**

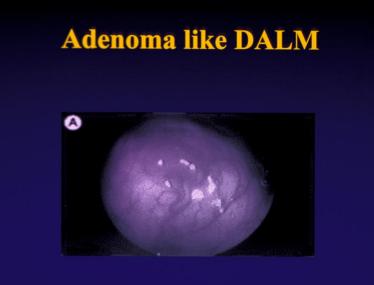
#### **NON ADENOMA-LIKE**

- Sessile/Pedunculated
- Well circumscribed
- Smooth surface
- Visible borders
- Non-ulcerated
- No stricture or mucosal tethering

- Usually sessile
- Poorly circumscribed
- >Irregular surface
- Indistinct border
- >Ulceration/necrosis
- >+Stricture/tethering



# Dysplasia in IBD



### **Dysplasia Associated Lesion Mass**



# Summary of DALM Studies

Author	#Patients	% DALM	% DALM with cancer
Blackstone (1981)	112	11%	58%
Butt (1983)	62	29%	83%
Rosenstock (1985)	248	5%	38%
Len-Jones (1990)	401	1.5%	83%
Bernstein (1994) (10 studies)	1225	3.2%	43%

Genetic Alterations in Chronic ulcerative colitis-associated adenoma like DALMS are similar to non-colitic sporadic adenomas

Odze et al, Am J Surg Pathol 2000;24(9)

### Adenoma-like DALMS in Ulcerative Colitis

	Non-CUC Adenoma	CUC Adenoma- like DALM (within colitis)	CUC Adenoma- like DALM (outside colitis)	CUC Non- Adenoma like DALM
	N=23	N=10	N=11	N=12
3p LOH	5%	30%	25%	50%* <sup>1</sup>
APC	33%	29%	38%	43%
p16	4%	0%	10%	56%* <sup>2</sup>

 $^{*1}P=0.01$   $^{*2}P=0.003$ 

- **1.** It looks like a sporadic adenomatous polyp endoscopically.
- 2. It looks like a sporadic adenomatous polyp histologically.
- 3. It has been completely removed and there is no dysplasia in flat mucosa.

# Are there reliable criteria to use to determine #2 above?

# Is it possible to reliably differentiate adenoma from polypoid dysplasia by morphology, impox, or molecular methods?

NO

# Polypectomy may be adequate treatment for adenoma-like dysplastic lesions in chronic ulcerative colitis

ENGELSQJERD, FARRAYE, ODZE (GASTROENTEROLOGY 1999;117:1288-1294)

### Adenoma-like DALM in Ulcerative Colitis

Feature	CUC Adenoma-like DALM	CUC Adenoma	Non-CUC Adenoma
# patients	24	10	49
Follow-up (months)	42	41	37
Flat dysplasia	1 (4%)	0 (0%)	N/A
New polyps	58%	50%	39%
Adenocarcinoma	0%	0%	0%

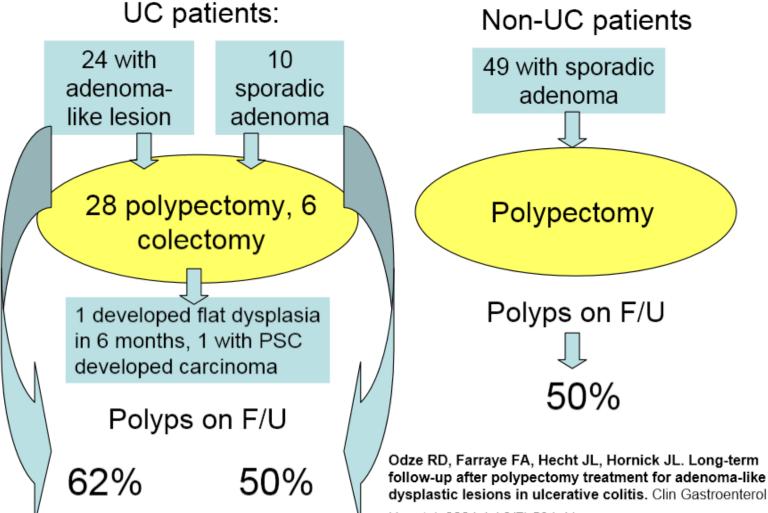
## Colonoscopic polypectomy in chronic colitis: Conservative management after endoscopic resection of dysplastic polyps

RUBIN, FRIEDMAN, HARPAZ, ET AL (GASTROENTEROLOGY 1999;117:1295-1300)

# Rubin et al

No further polyps	25 (52%)
Polyps in same vicinity	13 (27%)
Polyps in different location	10 (21%)
Dysplasia/CA in flat mucosa	0 (0%)

Follow up of UC patients with polypectomy for adenoma-like lesions (look like adenomas, occur in area of colitis)



Hepatol. 2004 Jul;2(7):534-41

# Conclusion

IF IT LOOKS LIKE AN ADENOMA IT PROBABLY IS!

## **Risk of Malignancy in UC** Adjunctive Methods

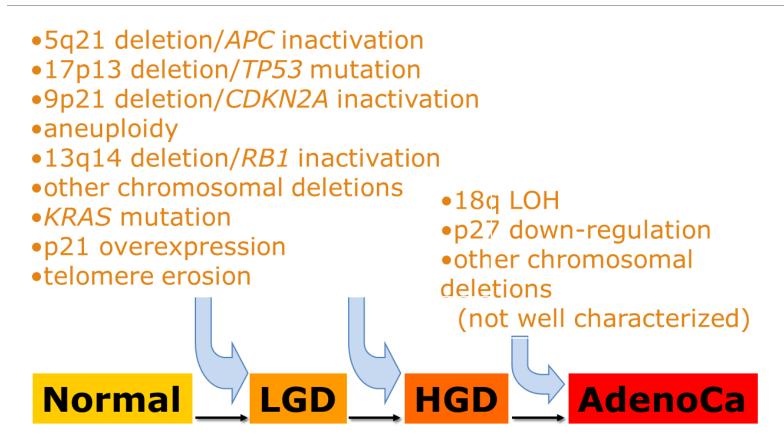
Method	Abnormality
Histochemical	Mucin, sialosyn TN
Impox	Proliferation
Molecular defects, locus specific	TP53, RB1, APC, CDKN2B, CDKN2A
Molecular defects, generic	MSI, CIN, aneuploidy
Laser fluorescence	Dysplasia

# Molecular Basis of Colitis-Associated Neoplasia

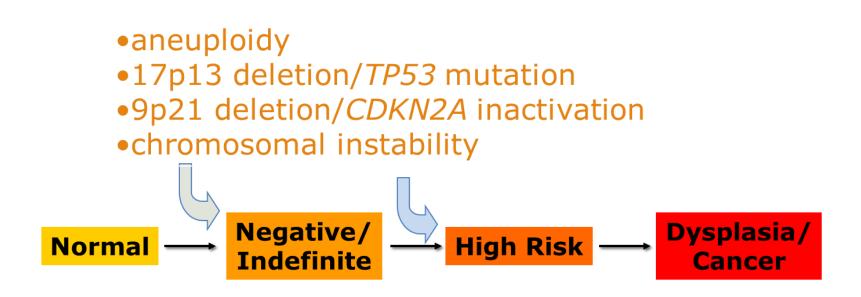
Stepwise genetic progression

- Sporadic vs colitis-associated
- Specific factors
  - >aneuploidy
  - >17p deletion/p53 mutation
  - ≻p16
- Genomic instability pathways

# Genetic Progression in Colitis-Associated Neoplasia



# Genetic Progression in Colitis-Associated Neoplasia



# Colitis-Associated vs. Sporadic Neoplasia

Aneuploidy pre-invasion

**>**TP53 mutation pre-invasion

Chromosome 3p deletion

Loss of p27 expression

Less bcl-2 expression
 Less β-catenin staining

# Aneuploidy Associations in Colitis-Associated Neoplasia

### Associated with:

- duration
- ➢extent
- Severity
- >dysplasia
- >other genetic alterations

## Aneuploidy Predicts Progression in Colitis-Associated Neoplasia

Histology	Ploidy	Dysplasia/Cancer Progression
Negative	diploid	0/15
	aneuploid	1/1
Indefinite	diploid	1/5
	aneuploid	4/4

Rubin et al, Gastroenterology 103:1611;1992

## Aneuploidy Predicts Progression in Non-Dysplastic Colitis

Study	Ploidy	Dysplasia/Cancer Progression
Lindberg 1999	diploid	0/127
	aneuploid	4/10
Holzman 2001	diploid	1/39
	aneuploid	5/10

## Aneuploidy in Colitis-Associated Neoplasia

- Extremely common
- Extensively studied
- >70-90% of dysplasia/cancers
- >10-20% of non-dysplastic

## 17p LOH in Colitis-Associated Neoplasia

Lesion	Frequency
Carcinoma	22/26 (85%)
HGD	25/40 (63%)
LGD	7/21 (33%)
Indefinite	5/57 (9%)

Burmer et al, Gastroenterology103:1602;1992

# *TP53* Mutation Predicts Progression in Colitis Neoplasia

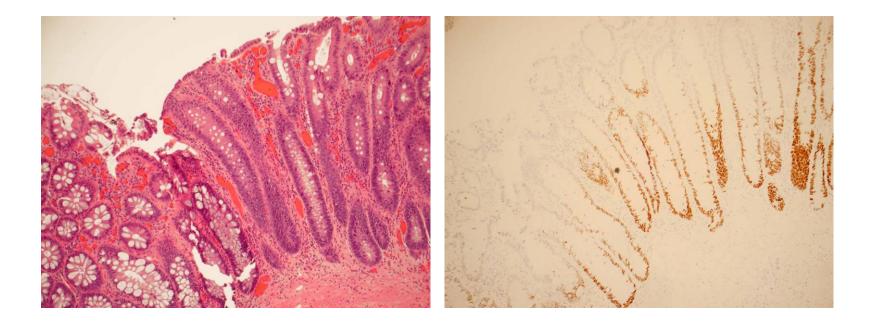
Holzmann Scand J Gastroenterol 2001

>83 high risk UC patients

p53 mutations predict progression:
no mutation - 3/64 (5%)
yes mutation - 7/18 (39%)

Less predictive than aneuploidy

# *TP53* in Negative/Indefinite Mucosa



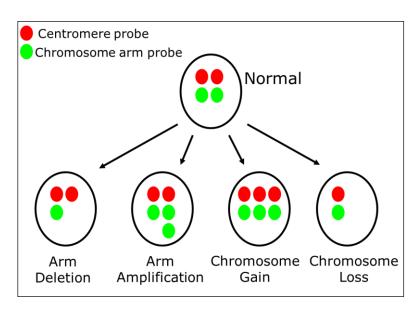
### Chromosomal Instability (CIN) in Colitis-Associated Neoplasia

# Dual color FISH chromosomes 8, 11, 17, 18

# Histologically negative rectal biopsies

### **CIN present in:**

- 10% non-IBD control cells
- 22% negative colitis cells (dysplasia or cancer elsewhere)
- ≻P<0.0004



### Telomere Erosion in Colitis-Associated Neoplasia

"Cap" on ends of chromosomes
 Maintain genome stability
 Loss associated with senescence

- Accelerated shortening with:
  - rapid cell turnover
  - >oxidative injury

### Telomere Erosion in Colitis-Associated Neoplasia

➢O' Sullivan et al, Nature Genetics 2002

> Determined telomere length in non-dysplastic mucosa by FISH

Patients with and without HGD/cancer

Telomere erosion associated with:
 chromosomal instability
 progression to HGD/cancer)

# Other Markers in Colitis-Associated Neoplasia

Proliferation index (Ki67)

≻Cyclin A

- **E-cadherin**
- ➢Sialosyl-Tn antigen

Metallothionein

Further studies needed to validate

# **Fecal DNA Mutation Testing**

- Cells shed into lumen
- Target DNA by hybrid capture
- > Test specific mutation panel
- **KRAS**
- **>***TP53*
- >APC
- DNA integrity

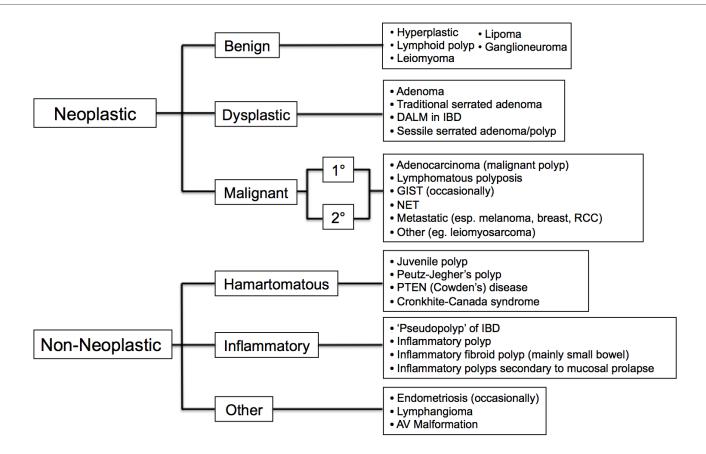
- High sensitivity/specificity in CRC
- Adenoma validation ongoing
- Testing required on colitis
- Development of cost-effective kit

# Molecular Summary of Colitis-Associated Neoplasia

Useful predictive factors:

Aneuploidy
 17p deletion/*TP53* inactivation
 Chromosomal instability
 Telomere erosion

# Classification of GI polyps



### Endoscopic Classification of intestinal lesions

**Paris classification:** 

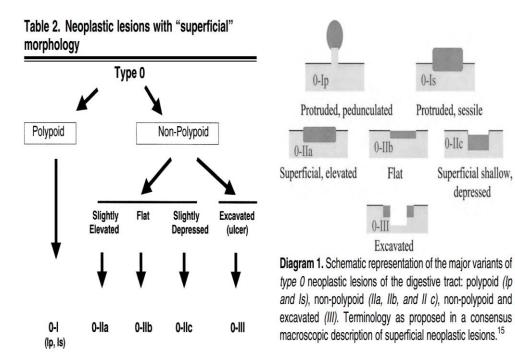
 Type 0 – superficial polypoid, flat/depressed or excavated lesions

• Type 1 – polypoid tumors, usually attached on a wide base

• Type 2 – ulcerated with sharply demarcated and raised margins

• Type 3 – nonulcerated, diffusely infiltrating carcinomas

• Type 4 – unclassifiable advanced carcinomas

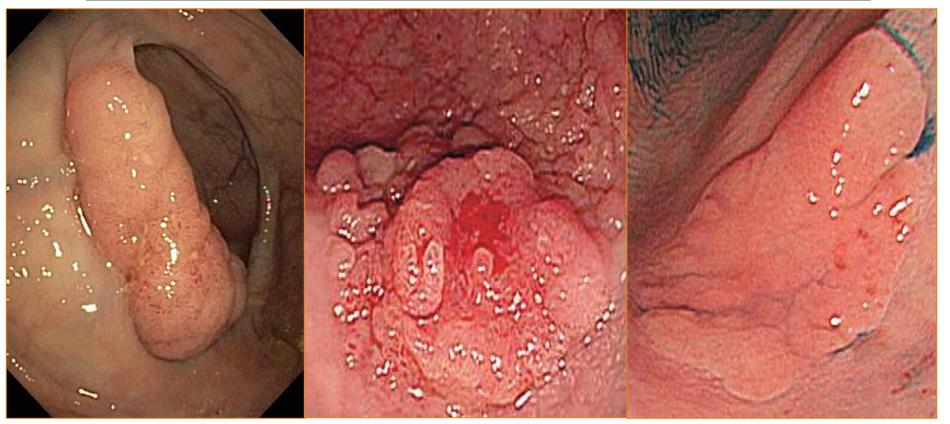


0-Is

0-IIc

Superficial shallow, depressed

## Paris Classification – Examples

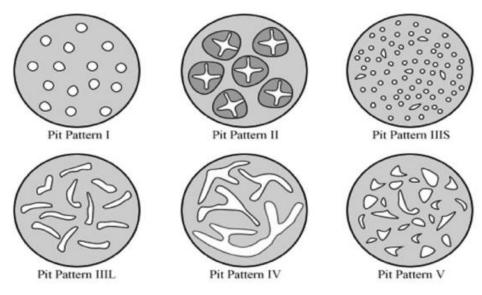


#### Type 0-ls Protruded sessile

Type 0-IIa Superficial elevated Type 0-IIb Flat

## Kudo Classification – Pit Pattern Assessment

Pit pattern type	Characteristics
1	roundish pits
Ш	stellaror papillary pits
III S	small roundish or tubular pits (smaller than type I pits)
III L	large roundish or tubular pits (larger than type I pits)
IV	branch-like or gyrus-like pits
v	non-structured pits

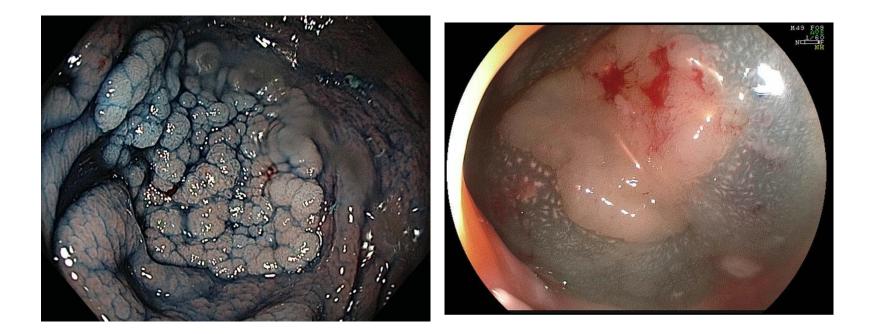


Kudo S. J Clin Pathol 1994;47:880-885

## Pit Pattern Assessment

#### GRANULAR

#### NON-GRANULAR



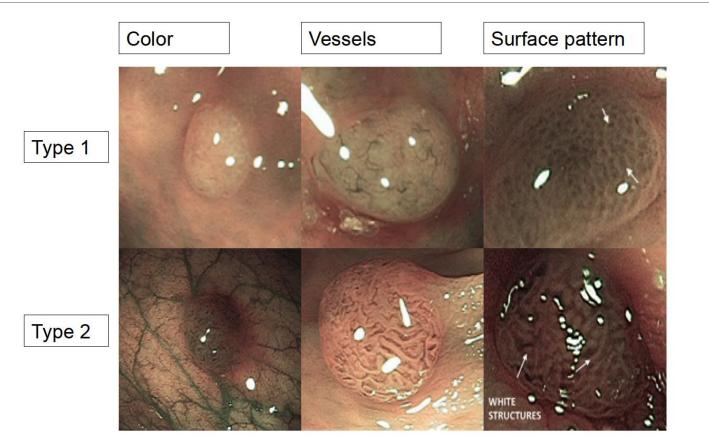
### Risk of invasive malignancy based on morphology

Multiple risk factors increase the risk of SMIC:

- 1% in 0–IIa granular lesion
- 46% in 0–IIa nongranular lesions
- 56% in 0–IIa nongranular lesions with type V pits

	n	% of total cohort	n (%) with SMI	P value
Paris classification				
ls	146	30.5	11 (7.5)	.001
lla	222	46.3	9 (4.1)	
llb	9	1.9	1 (11.1)	
llc or lla+c	22	4.6	7 (31.8)	
ls + lla	80	16.7	5 (6.3)	
	0	0	0 (0)	
Surface morphology				
Granular	311	64.9	10 (3.2)	<.001
Nongranular	98	20.5	15 (15.3)	
Mixed granular and nongranular	30	6.3	3 (10)	
Unable to classify	40	8.4	5 (12.5)	
Kudo pit pattern				
Pit pattern I	7	1.5	0 (0)	<.001
Pit pattern II	41	8.6	0 (0)	
Pit pattern III	182	38.0	8 (4.4)	
Pit pattern IV	202	42.2	10 (5.0)	
Pit pattern V	25	5.2	14 (56.0)	
Unable to classify	22	4.6	1 (4.5)	

### Narrow band Imaging (NBI) International Colorectal Endoscopic (NICE) classification

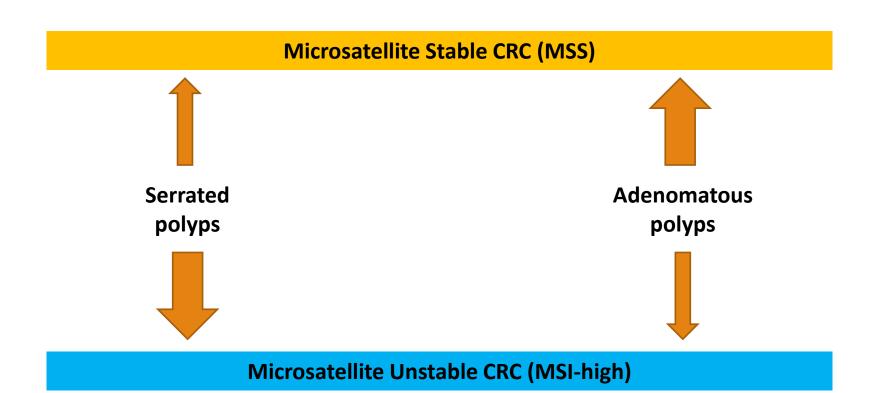


Hewett DG, Kaltenbach T et al. Gastroenterology 2012;143:599-607.

## Serrated Polyp Pathway

MORPHOLOGY AND MECHANISMS

# Why should we care about serrated polyps?



# Evidence to support serrated pathway

Patients with numerous serrated polyps are at increased risk of colorectal carcinoma (CRC)

Large serrated polyps are associated with synchronous advanced polyps and CRC

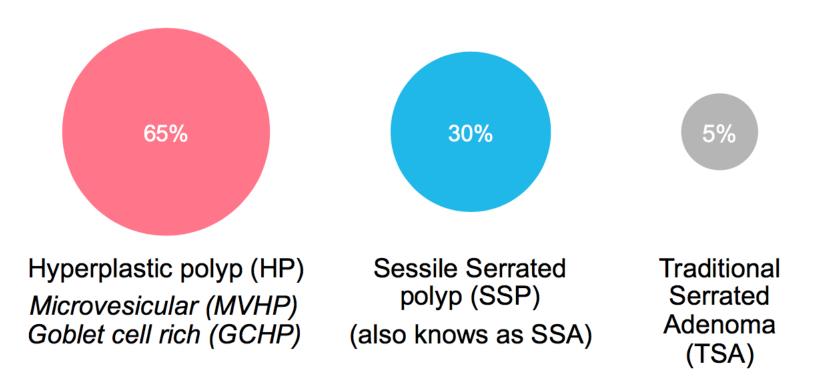
Serrated polyps are present in areas that subsequently developed MSI-H CRC

Patients with MSI-H CRC often have serrated polyps elsewhere in the colon

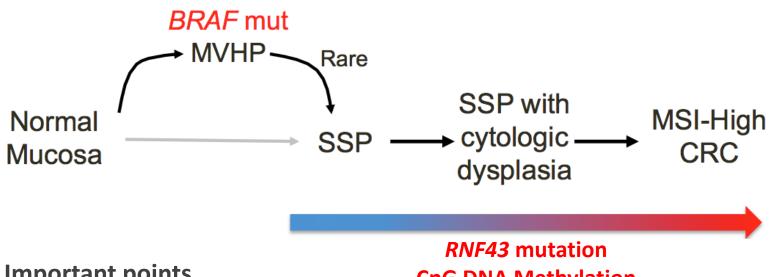
Serrated polyps can develop dysplasia and are seen adjacent to some CRC

Serrated polyps have molecular features similar to MSI-H CRC

### **Types of Serrated Polyps**



### **Simplified View of Serrated** Pathway



**Important points** 

**CpG DNA Methylation** 

 SSPs probably develop from MVHPs: MVHPs aren't completely innocuous but transformation to SSP is likely a rare event (occurs more commonly in the right colon)

 Serrated pathway is characterized by hypermethylation of CpG islands (CIMP-high) and BRAF mutations

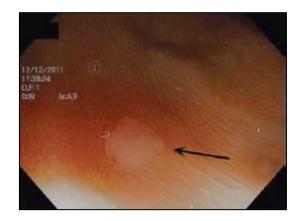
### Hyperplastic Polyp (Microvesicular)

### CLINICAL/ENDOSCOPIC FEATURES

≻65% of serrated polyps

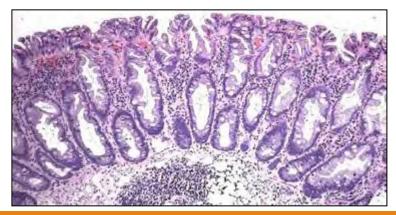
>Small

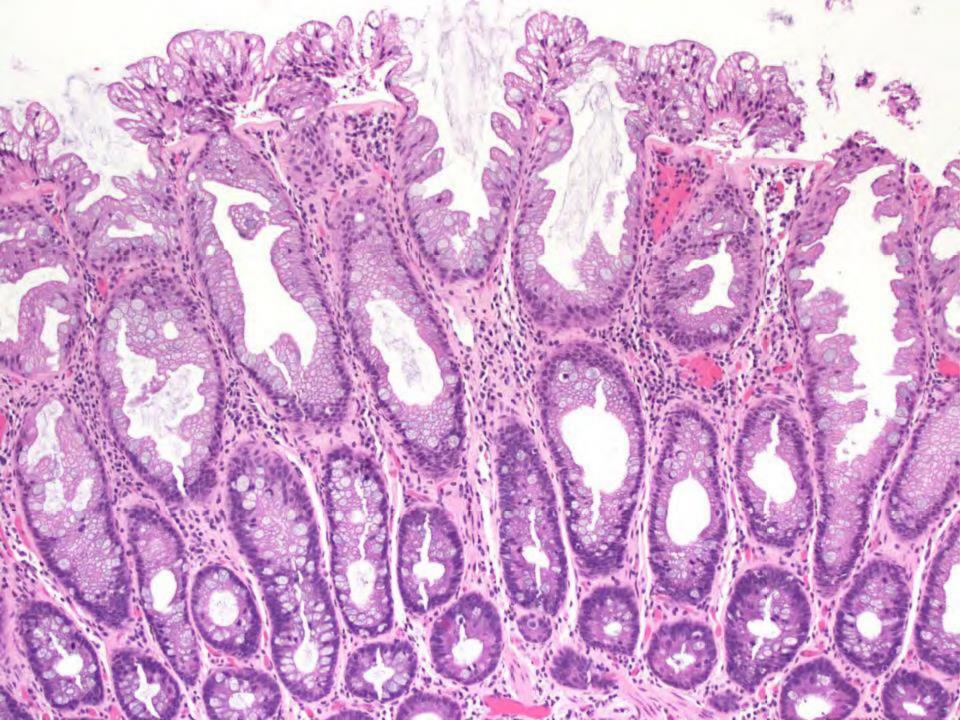
Distal>>Proximal

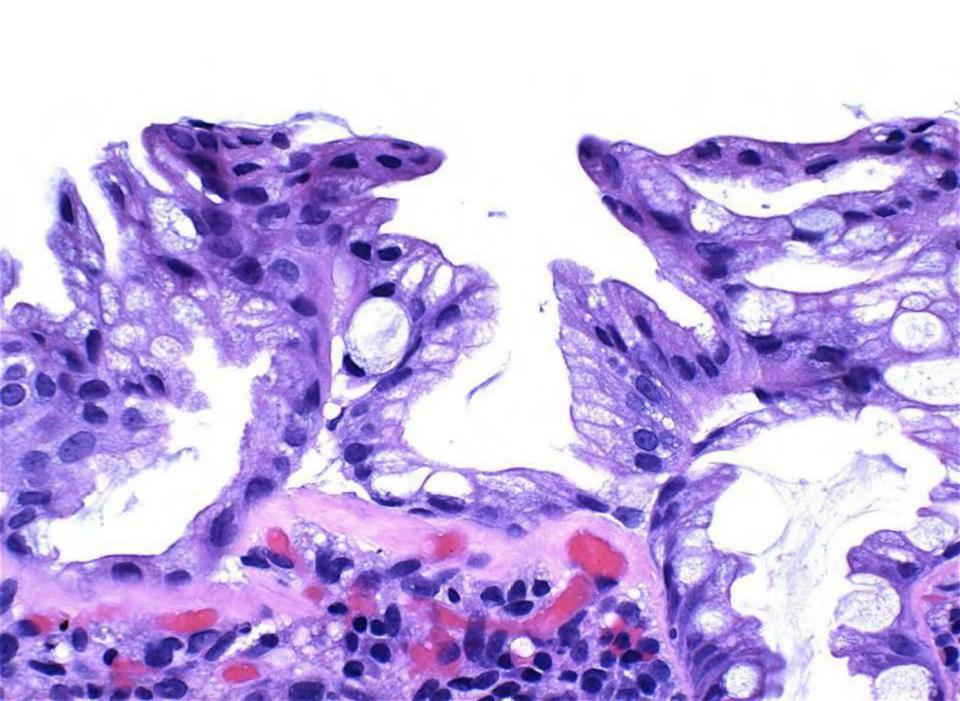


#### PATHOLOGIC FEATURES

- Serrated crypts: serrations are limited to the upper 2/3 of crypts
- Crypts are elongated and straight
- Base of crypts are uniform
- No cytologic dysplasia
- Microvesicular mucin droplets



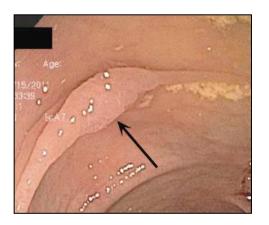




## Sessile Serrated Polyp

#### CLINICAL/ENDOSCOPIC FEATURES

- ➢ 35% of all serrated polyps
- Larger than hyperplastic polyps
- Prominent mucosal fold with mucin cap
- ≻Rim of debris/bubbles
- ➢ Proximal>>Distal

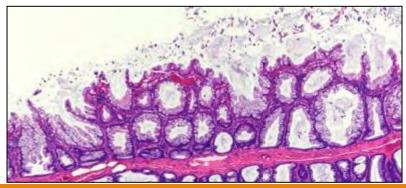


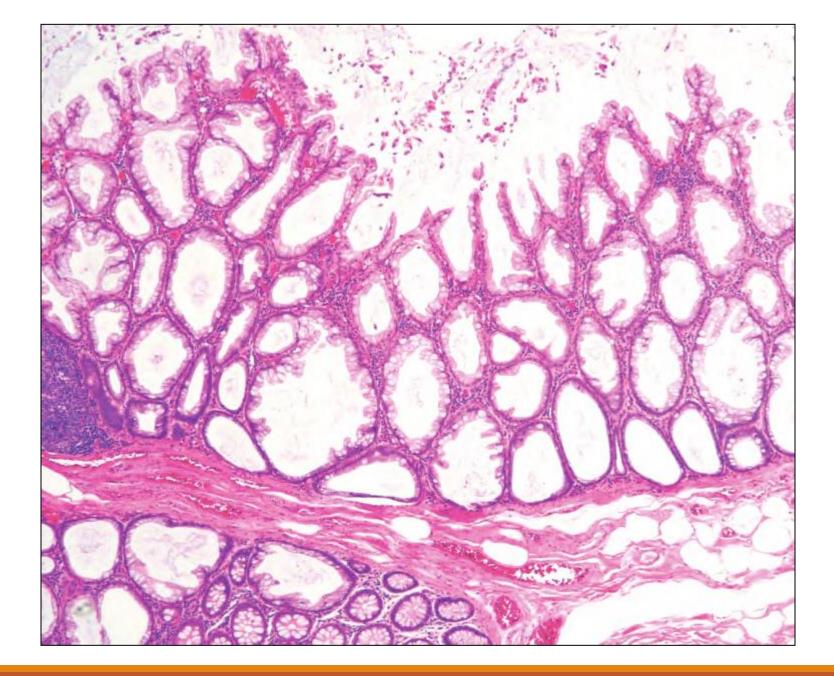
#### PATHOLOGIC FEATURES

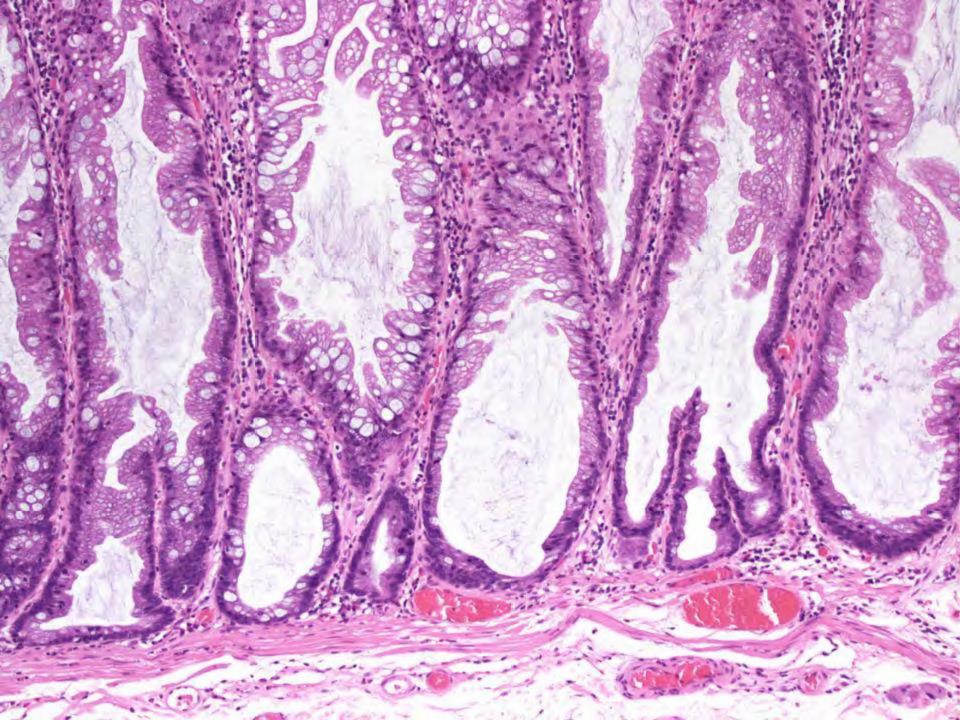
- Resemble hyperplastic polyps
  - Serrated crypts
  - No cytologic dysplasia
  - Cells with microvesicular mucin

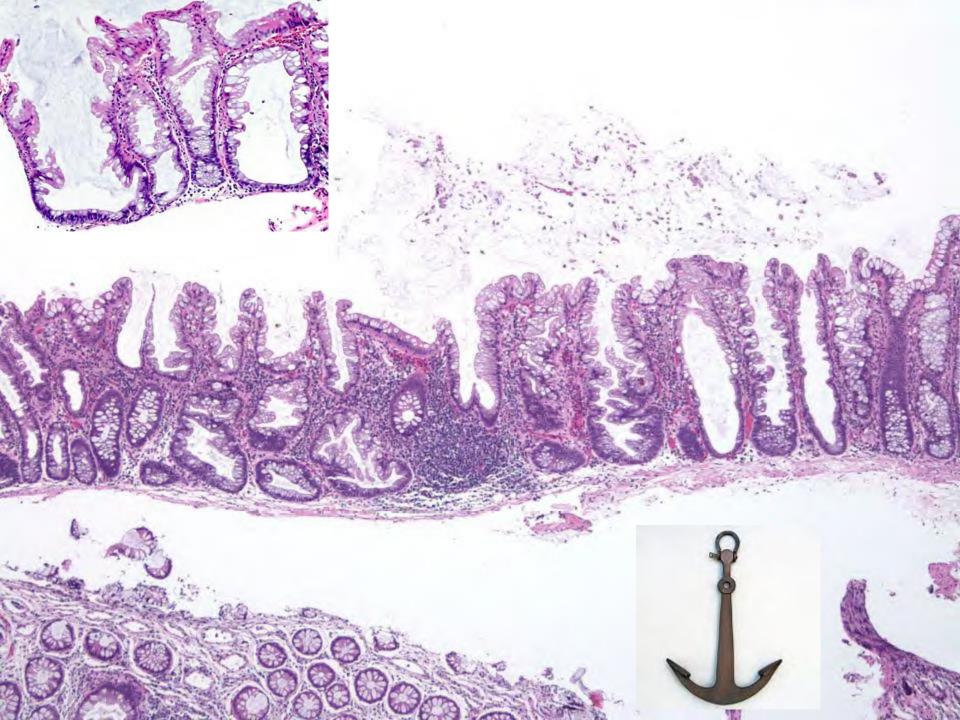
#### BUT Architectural differences:

- Serrations are present along the entire length of some crypts
- The base of some crypts are dilated, irregular, and extend laterally

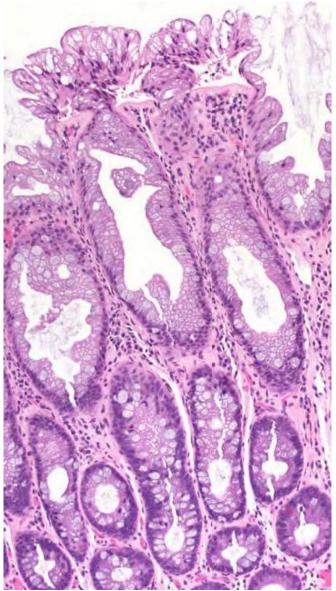












# Maturation

### **Proliferative Zone**

# Maturation

### **Proliferative Zone**

# Maturation

Perineurial-like stromal proliferation

Charles her ins

Am J Surg Pathol. 2011 Sep;35(9):

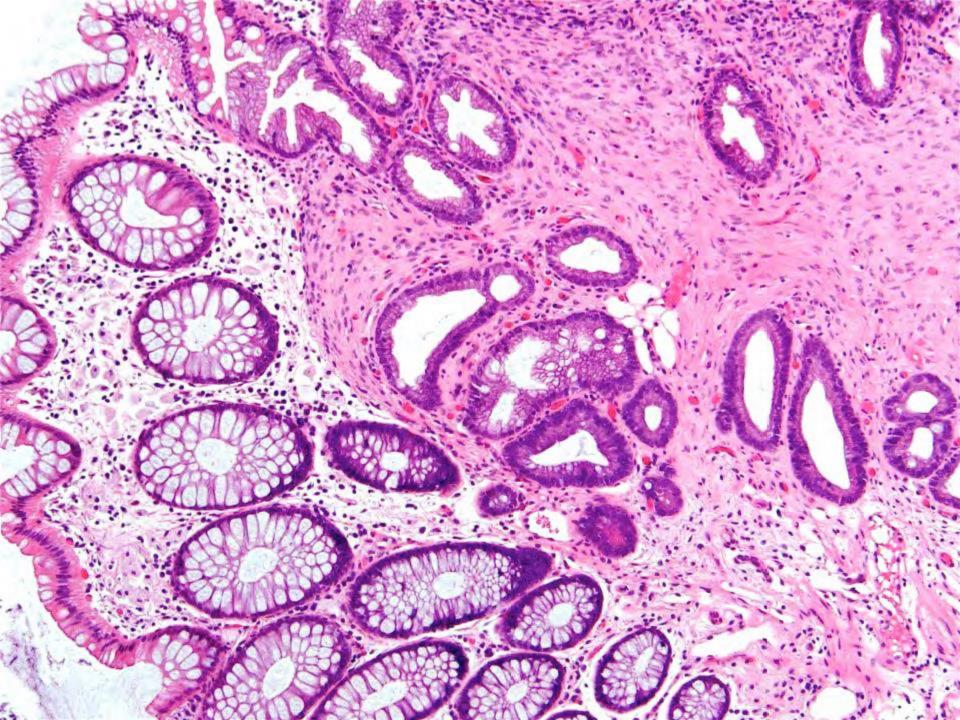
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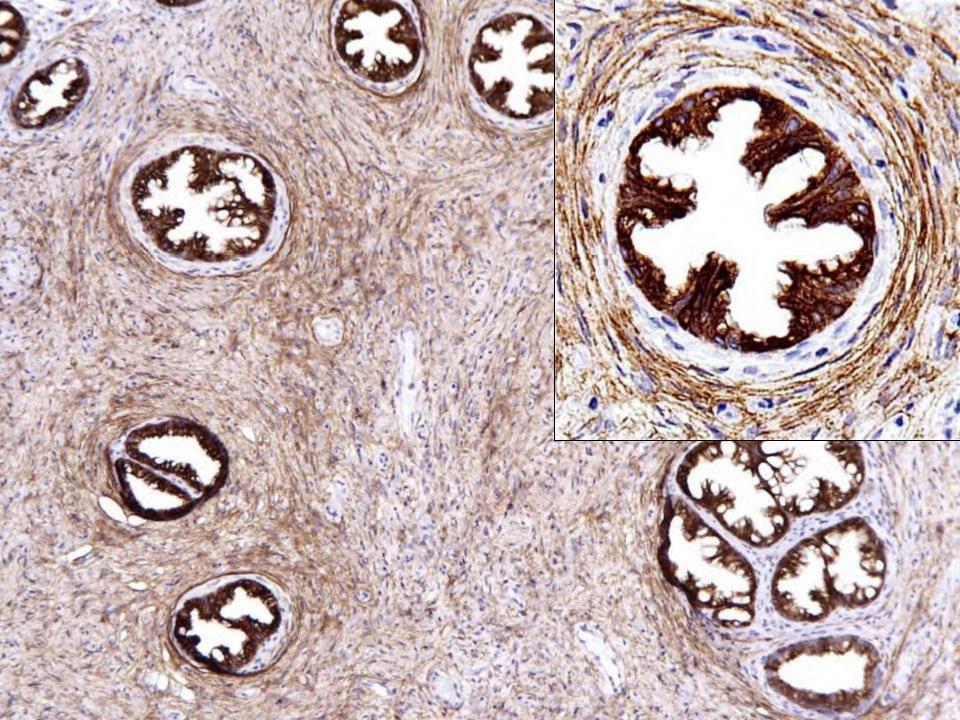
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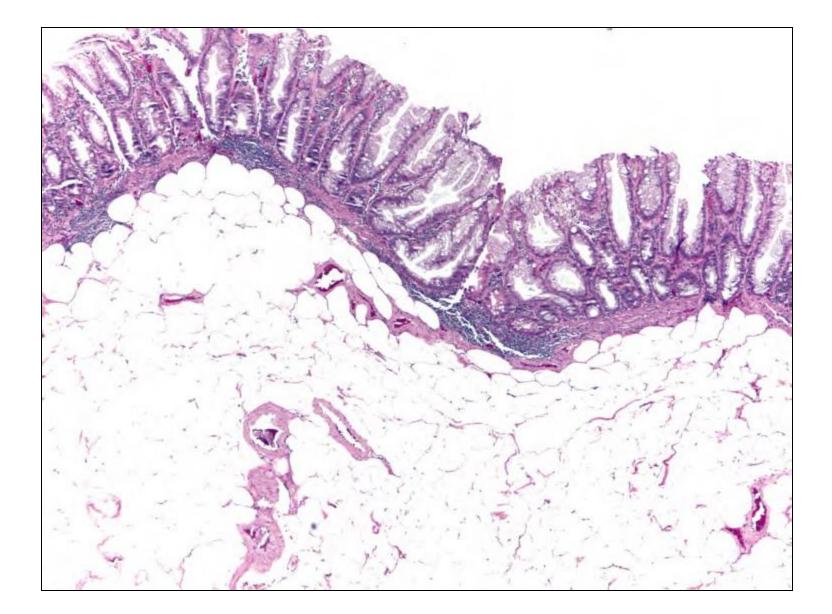
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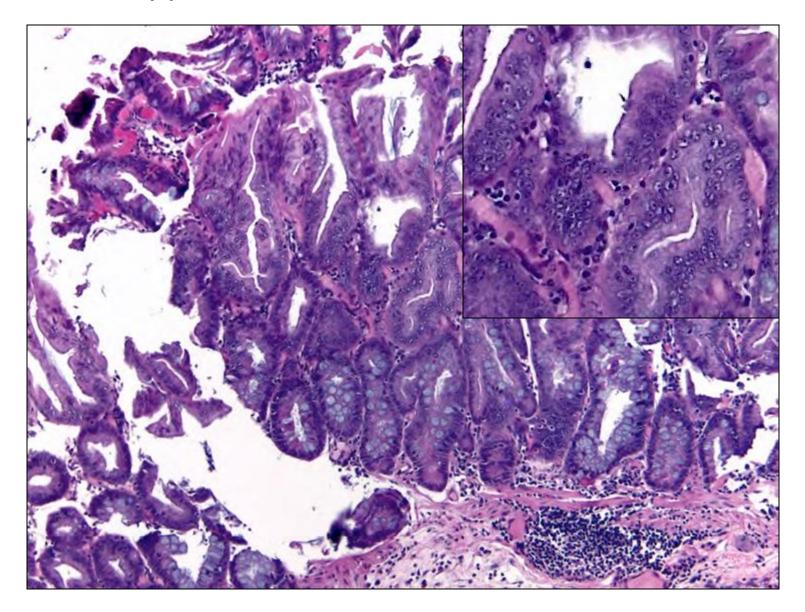




SSP with cytologic dysplasia (common type)

1

#### "Serrated dysplasia" within SSP



# Sessile Serrated Polyps with cytologic dysplasia

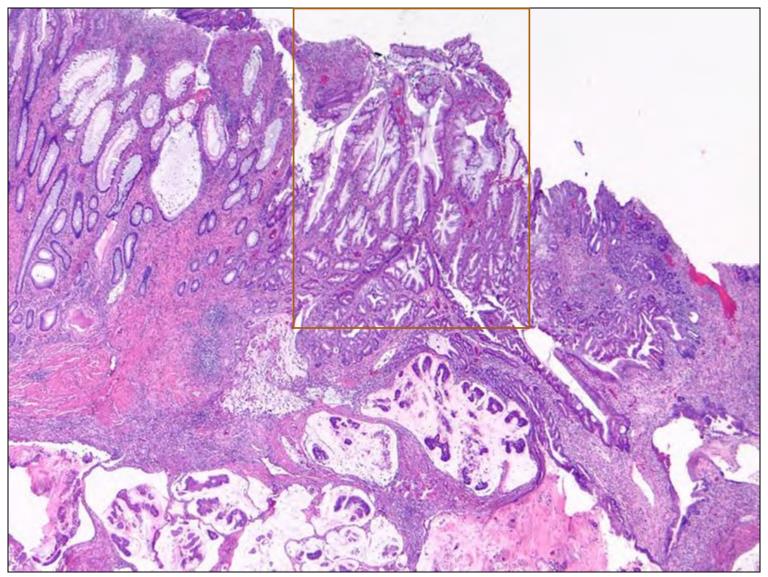
### Prevalence

- About 5% of SSPs in one large study harbor dysplasia
- >WHO does not require to separate into high- and lowgrade; however, I try to do so
  - Diagnosis: Sessile serrated polyp with cytologic dysplasia (lowgrade)

### Morphologic variants are being described

- Abtract at USCAP: Common NOS, serrated, adenomatous, and "minimal deviation"
- Not required to morphologic subtype

#### **SSP** Precursor



> Danish CRC study: 2,060 CRC cases, 8,237 controls

Determined what polyps at index colonoscopy increase risk of CRC
 Reviewed all serrated polyps (4 GI pathologists)

Polyp type	Cases %	Controls	Adjusted OR
No polyp	56.5	74.2	1.00 (reference)
SSA/P no cytologic dysplasia	2.9	1.4	2.75
SSA/P with cytologic dysplasia	1.0	0.3	4.76
Conventional adenoma	37	21	2.51
Hyperplastic polyp	2.7	2.9	1.30

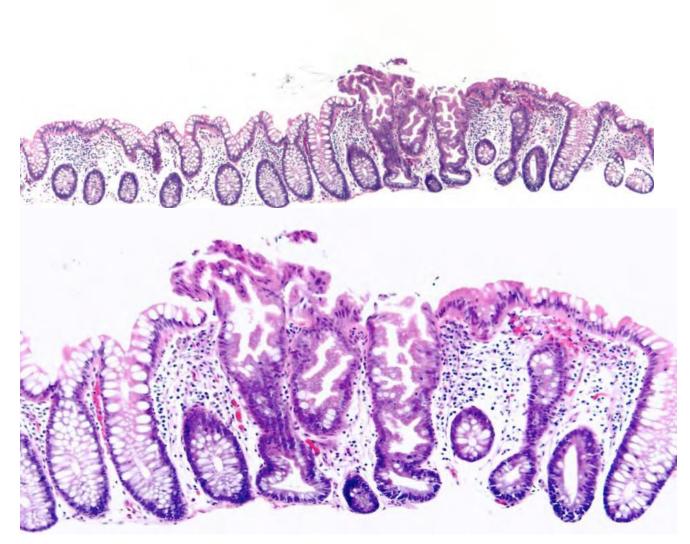
Baseline colonoscopy: most advanced finding(s)	Recommended surveillance interval (y)	Quality of evidence supporting the recommendation	New evidence stronger than 2006
Serrated lesions			
Sessile serrated polyp(s)			
<10 mm with no dysplasia	5	Low	NA
Sessile serrated polyp(s) ≥10 mm	า 3	Low	NA
Sessile serrated polyp			
with dysplasia	3	Low	NA
Traditional serrated adenoma	3	Low	NA
Serrated polyposis syndrome	1	Moderate	NA

#### Questions

1. What about patients with multiple SSPs?

2. Is there any difference in proximal versus distal SSP?

3. What about HPs? Particularly proximal HPs?



WHO 2010 – At least 2 adjacent crypts or 3 individual crypts with abnormal architecture Rex et al – At least one unequivocal architecturally distorted, dilated, and/or horizontally branched crypt

# How to make the diagnosis of SSP?

### >Which criteria should we use?

Bettington et al analyzed 6340 polyps (AJSP. 2014. 38(2):158-66)

- > WHO criteria: 12.1% were SSPs
- Using Rex criteria: 14.7% were SSPs
- Found that serrated polyps with any SSP-like crypts (Rex criteria) had clinical features more like SSPs than HPs (more proximal, larger, etc.)
- They conclude that only 1 abnormal crypt is necessary for the diagnosis independent of size and location

➢ Kolb et al found that using the Rex criteria resulted in improved interobserver agreement and a ~7% increase in the diagnosis of SSA/P compared to WHO criteria (J Clin Gastroenterol 2015, PMID: 26501882)

# Mucosal Prolapse (left sided HPs)

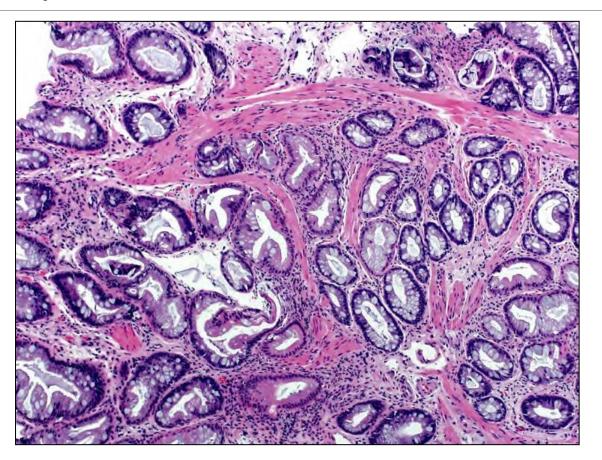
Pai et al (Histopathology 2010)

- **>276** serrated polyps, independent review by 2 pathologists
- 30 polyps lacked consensus, 11/30 had features of mucosal prolapse

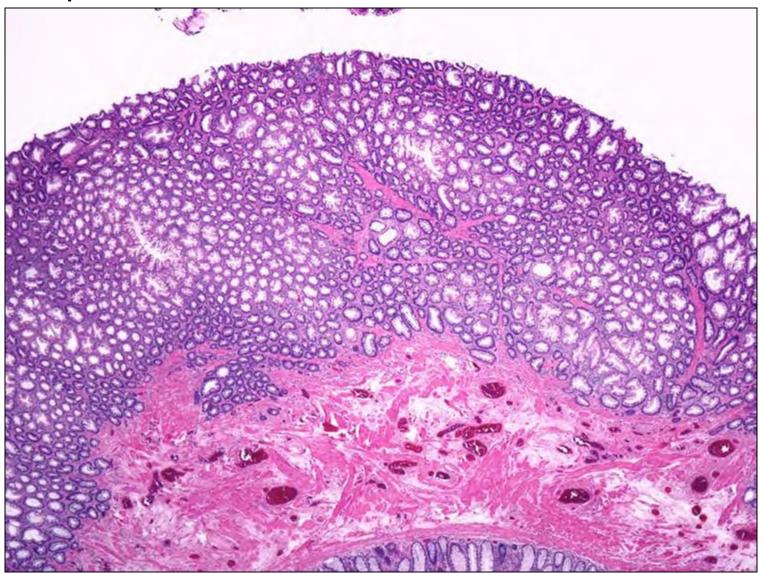
### Huang et al reanalyzed 78 rectal polyps diagnosed as SSP (Human Path 2013)

Mucosal prolapse was common in these "SSPs" and 31/78 were felt to be better classified as HPs with prolapse

### Prolapsed HP



#### Prolapsed HP vs. SSP?

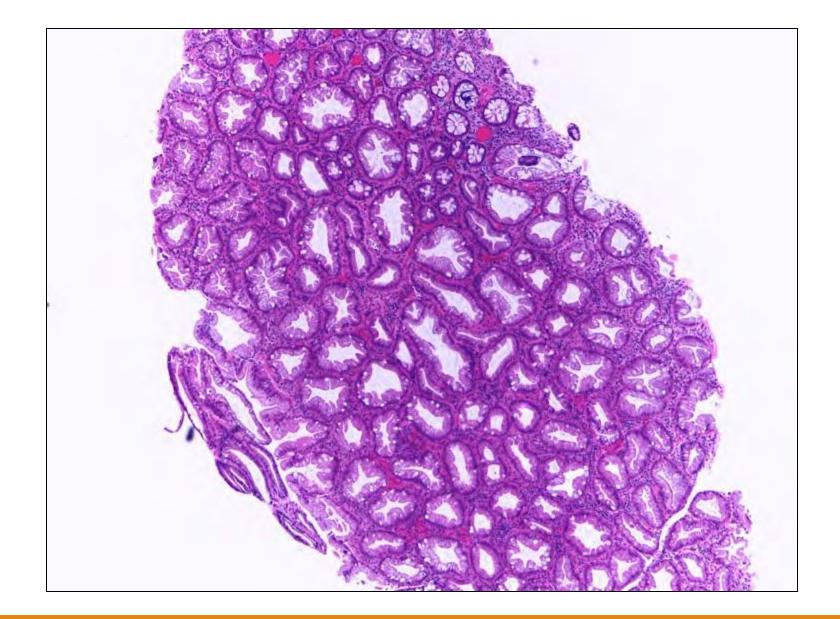


# Poorly orientated biopsy fragments

➢As architecture is the most important determining feature of SSP, poorly oriented fragments are difficult to interpret

Morales et al placed suspicious polyps in a paper envelope and flattened them before placing in formalin to help with embedding. (Endoscopy 2013 45(11):906)

This improved the interobserver agreement between pathologists and increased the % of polyps diagnosed as SSPs



## Serrated polyps in IBD

Scenario 1: It looks like an HP – call it an HP

Scenario 2: It looks like an SSP – call it an SSP

Scenario 3: Flat mucosa with surface hyperplasia – I call it hyperplastic change, negative for dysplasia

Scenario 4: Serrated changes in a background of inflammation and distorted architecture: call it serrated epithelial change

May be associated with metachronous and synchronous dysplasia



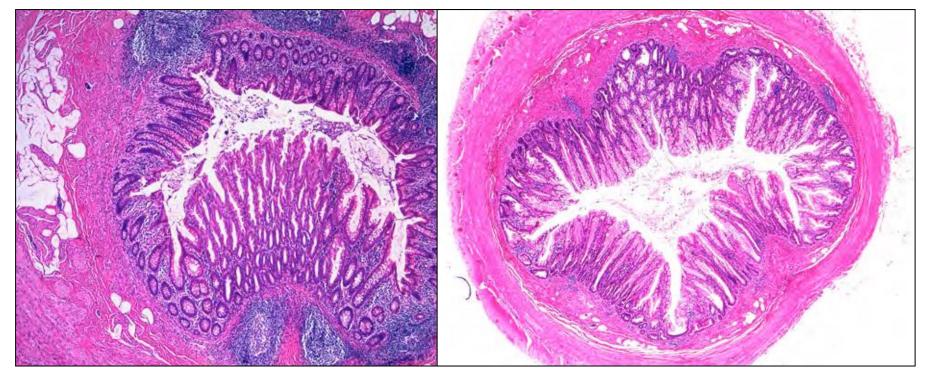
## Appendiceal serrated polyps

Morphologically similar to colon counterparts, but molecularly distinct

Most serrated lesions of the appendix (even those that resemble colonic HPs and SSPs) primarily have KRAS and not BRAF mutations

Recommendation is to simply diagnose as "appendiceal serrated polyp". Also mention if there is cytologic dysplasia

# Appendiceal Serrated Polyp without Dysplasia

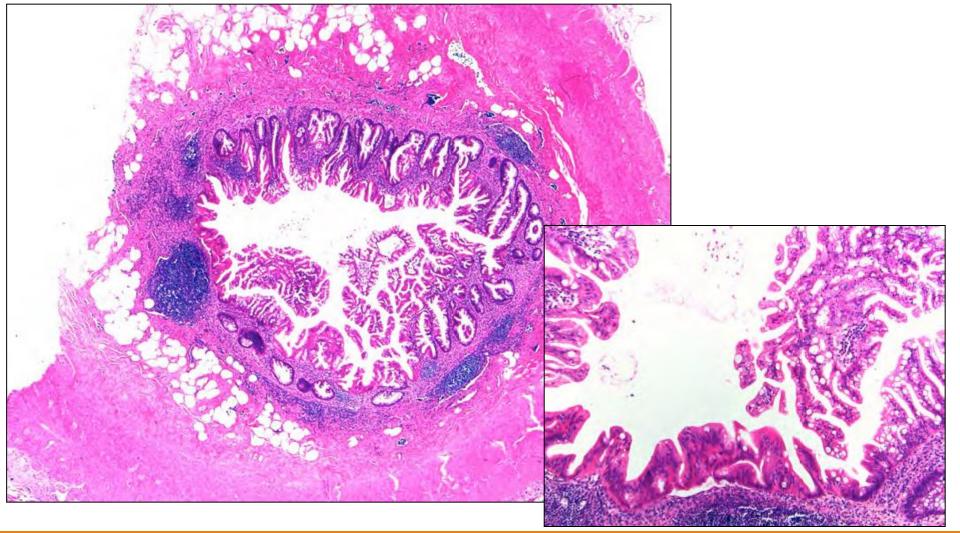


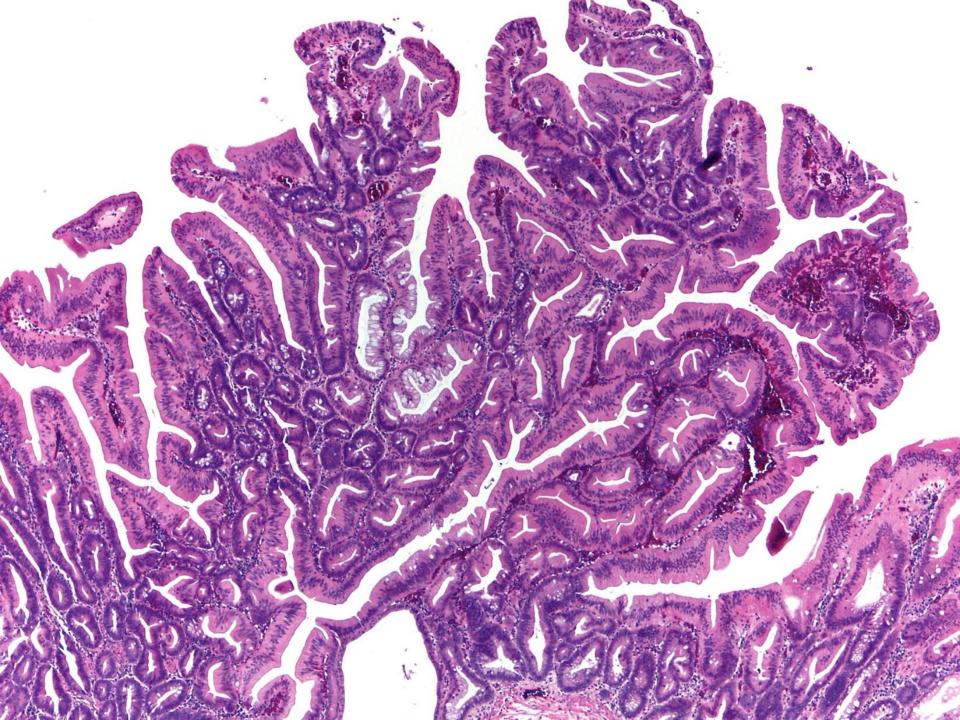
**Resembles a colonic HP** 

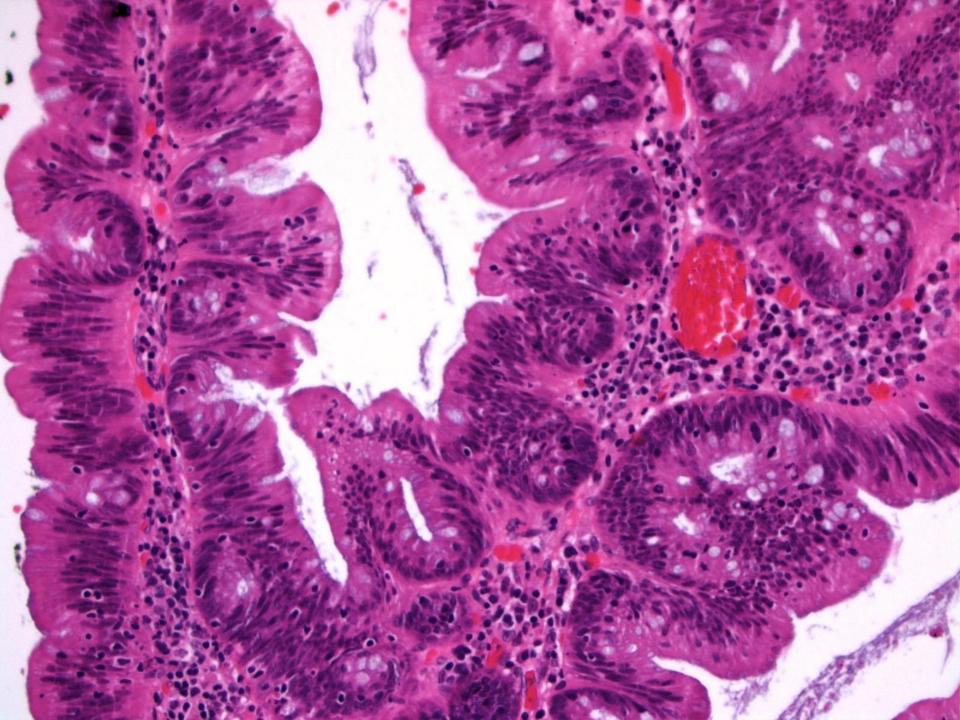
#### **Resembles a colonic SSP**

Pai RK, et al. Hum Pathol. 2014 Feb;45(2):227-35.

## Appendiceal Serrated Polyp with cytologic dysplasia (low-grade)







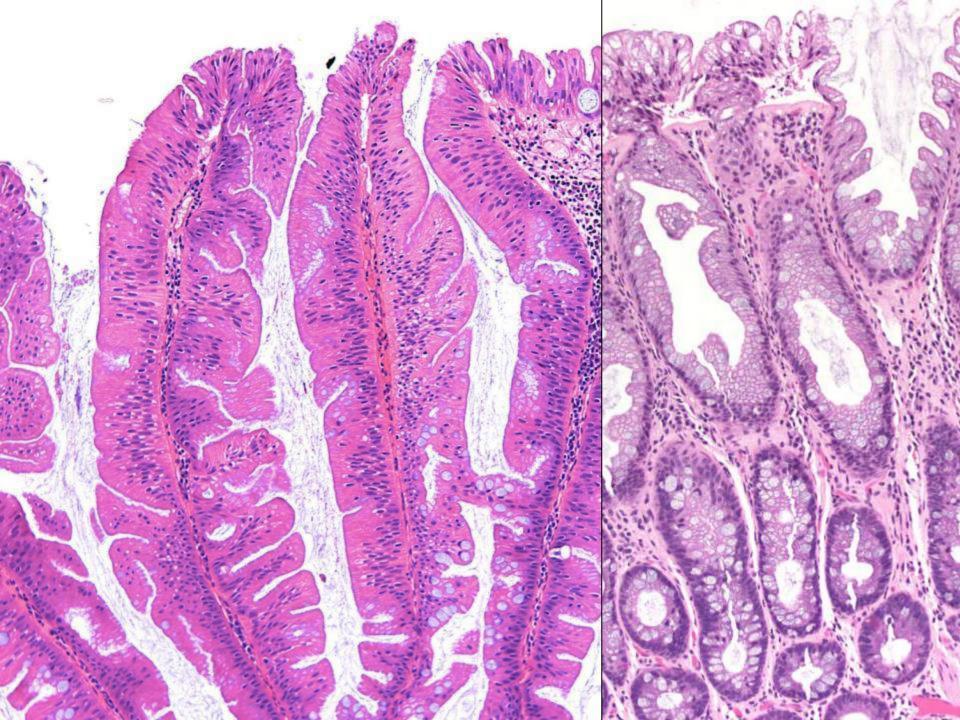
#### Serrated Adenomatous Polyp

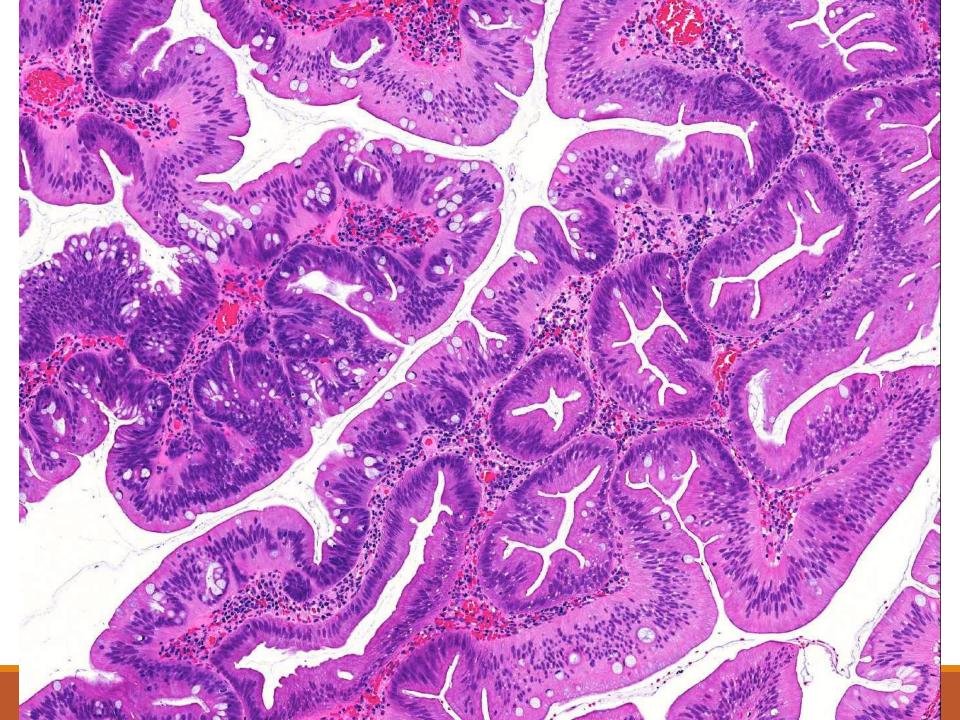
- 2-5% of serrated polyps
- ►Large
- Protuberant, exophytic
- Distal>>Proximal

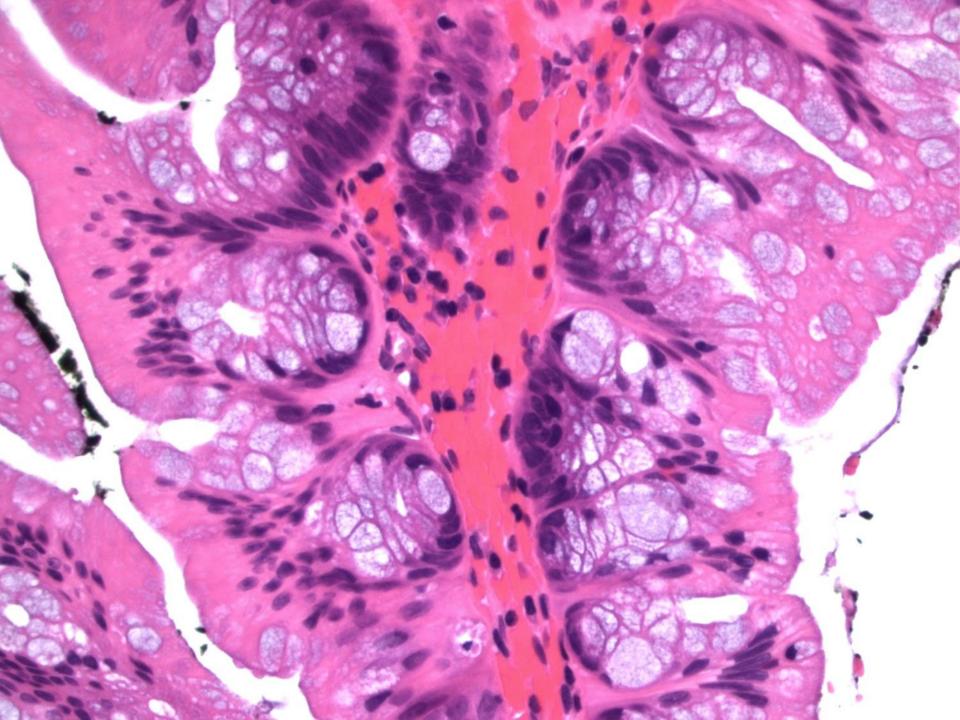
#### ➢Villiform

- Serrated crypts
- Pseudostratified pencillate nuclei
- Abundant eosinophilic cytoplasm









#### Serrated Architecture in Polyps

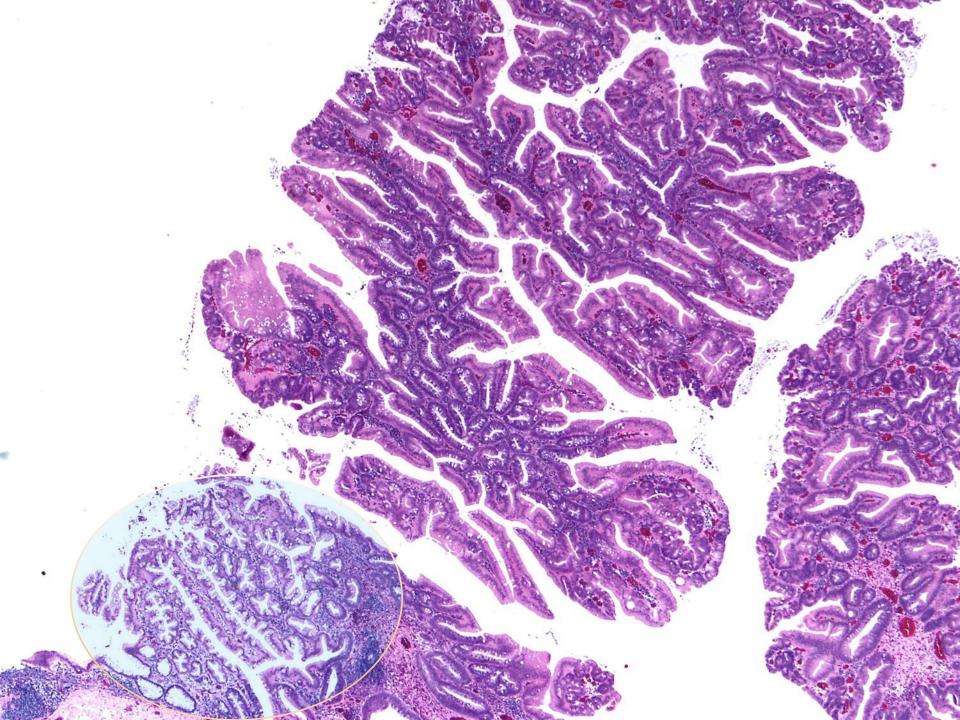
What is the relationship between SAPs, SSA/Ps and HPs?

- Is it important to recognize this polyp? Screening guidelines?
  - Is it an aggressive polyp?

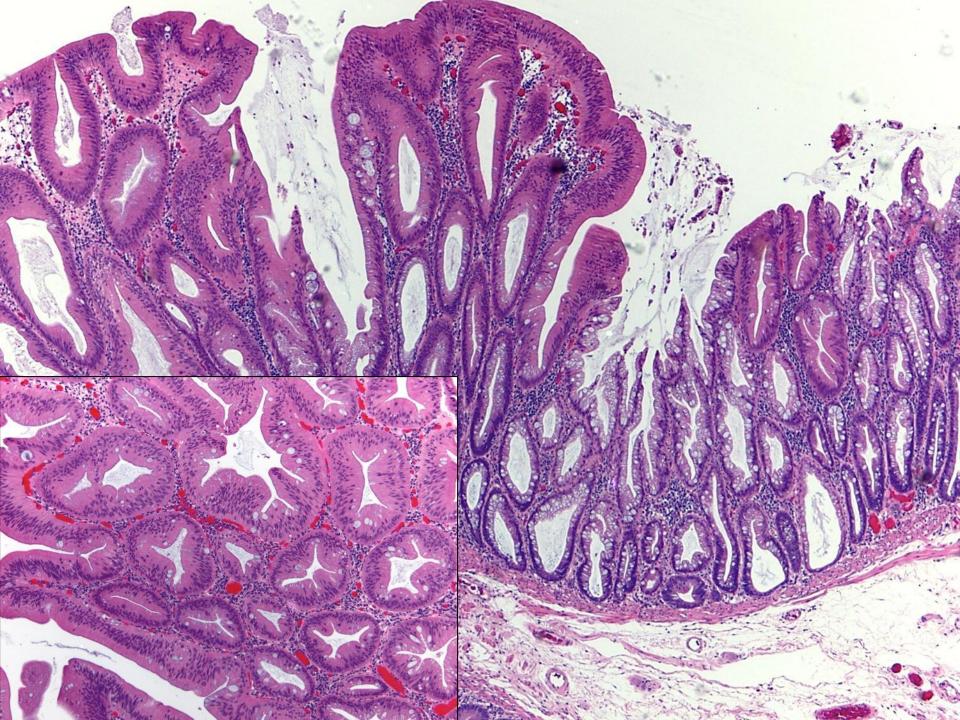
What are the defining pathologic features for this polyp?
Ectopic crypt foci?

How do SAPs fit in the serrated pathway?

Molecular changes?







## Serrated Adenomatous Polyp

- >SAPs likely come from a non-dysplastic serrated polyp (either HP or SSA/P)
  - If this is true, why not call these SSA/Ps with cytologic dysplasia?

Calling these SSA/Ps with cytologic dysplasia doesn't really tell the whole story – these are polyps with unique clinical, histologic, and molecular features.

Basically, SAPs are a specific form of serrated colorectal dysplasia

#### High-risk metachronous polyps are more frequent in patients with traditional serrated adenomas than in patients with conventional adenomas: a multicenter prospective study

Jin Young Yoon, MD,<sup>\*,1</sup> Hyung Tae Kim, MD,<sup>\*,2</sup> Sung Pil Hong, MD, PhD,<sup>1</sup> Hyun Gun Kim, MD,<sup>3</sup> Jin-Oh Kim, MD,<sup>3</sup> Dong-Hoon Yang, MD,<sup>4</sup> Dong Il Park, MD,<sup>2</sup> Seun Ja Park, MD,<sup>5</sup> Hyun-Soo Kim, MD,<sup>6</sup> Bora Keum, MD,<sup>7</sup> Cheol Hee Park, MD,<sup>8</sup> Chang Soo Eun, MD,<sup>9</sup> Suck-Ho Lee, MD,<sup>3</sup> Il Hyun Baek, MD,<sup>8</sup> Dong Kyung Chang, MD, PhD,<sup>2</sup> Tae Il Kim, MD, PhD<sup>1</sup>

Seoul, Pusan, Wonju, Pyeongchon, Cheonan, Guri, Korea

- From a prior study 717 polyps diagnosed as SAP
- 6 GI pathologists reclassified the serrated polyps according to WHO classification
  - Only 420 of the original 717 were felt to be SAP
- Of these, only 186 patients with SAPs had clinical, endoscopic, and follow-up data
- Compared these 186 patients with SAPs to 372 age and sex-matched patients with only conventional adenomas

Risk of developing an advanced adenoma:

- Baseline SAP vs conventional adenoma: OR 2.37
- Baseline SAP vs advanced adenoma: OR 2.19

TABLE 4. High-risk polyp incidence on surveillance colonoscopies in traditional serrated adenoma, conventional adenoma, and high-risk conventional adenoma patients

	Traditional serrated adenomas ( $n = 186$ )	Conventional adenomas (n = 372)	High-risk conventional adenomas (n = 290)	P value
High-risk polyp				<.001,* .007†
Yes	88 (47.3%)	119 (32.0%)	101 (32.0%)	
No	98 (52.7%)	253 (68.0%)	189 (68.0%)	

## Screening Guidelines

Screening guidelines from Rex DK, et al. Serrated lesions of the colorectum: review and recommendations from an expert panel. Am J Gastroenterol. 2012 Sep;107(9):1315-29

Polyp	Location	Surveillance
SAP <10mm, <3 in number	Any	5
SAP ≥10mm, 1 in number	Any	3
SAP <10 mm, ≥3 in number	Any	3

Potentially screening interval for a diagnosis of SAP should be minimum 3 years, potentially 1-3

#### Sessile Serrated Adenoma (SSA) vs. Traditional Serrated Adenoma (TSA)

Emina Emilia Torlakovic, MD, PhD,\* Jose D. Gomez, MD,† David K. Driman, MBChB, FRCPC,‡ Jeremy R. Parfitt, MD,‡ Chang Wang, MD,\* Tama Benerjee, MD,\* and Dale C. Snover, MD§

#### Distinction between SSA and TSA difficult

Evaluated 66 serrated polyps for shape, architectural features of crypts, eosinophilic cytoplasm, and distribution of proliferative zones

#### Features of SAP

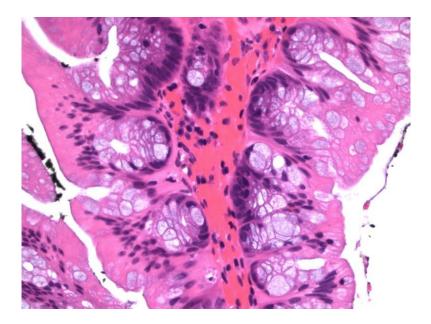
- **Ectopic crypt foci**
- Eosinophilic cytoplasm
- Left sided location

#### Morphologic Criteria

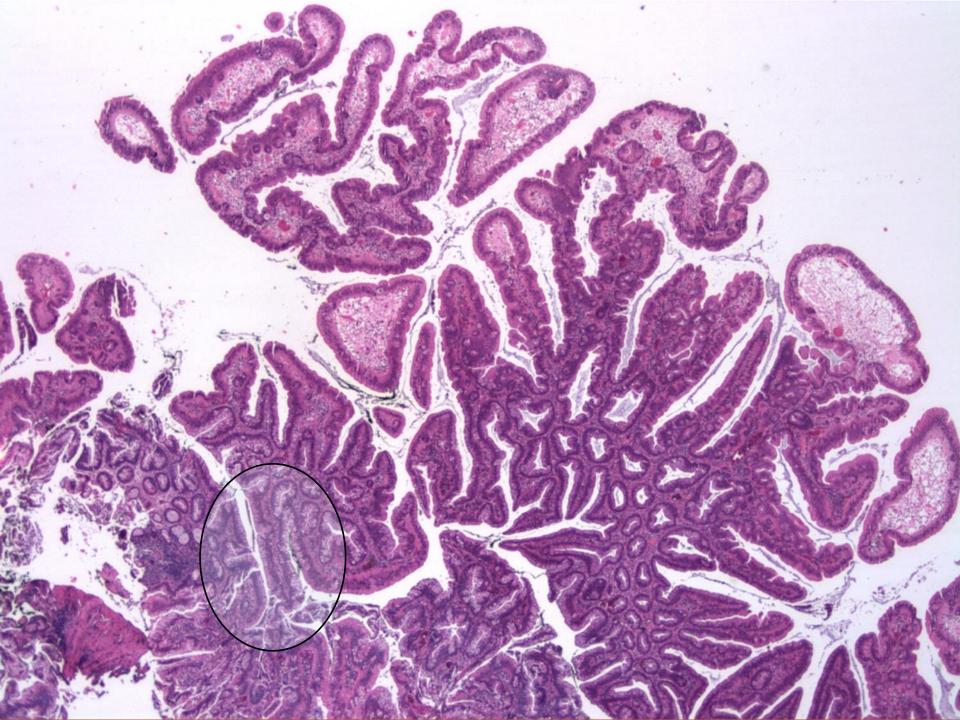
Torlakovic and Snover proposed that ectopic crypt foci be a defining feature of SAP

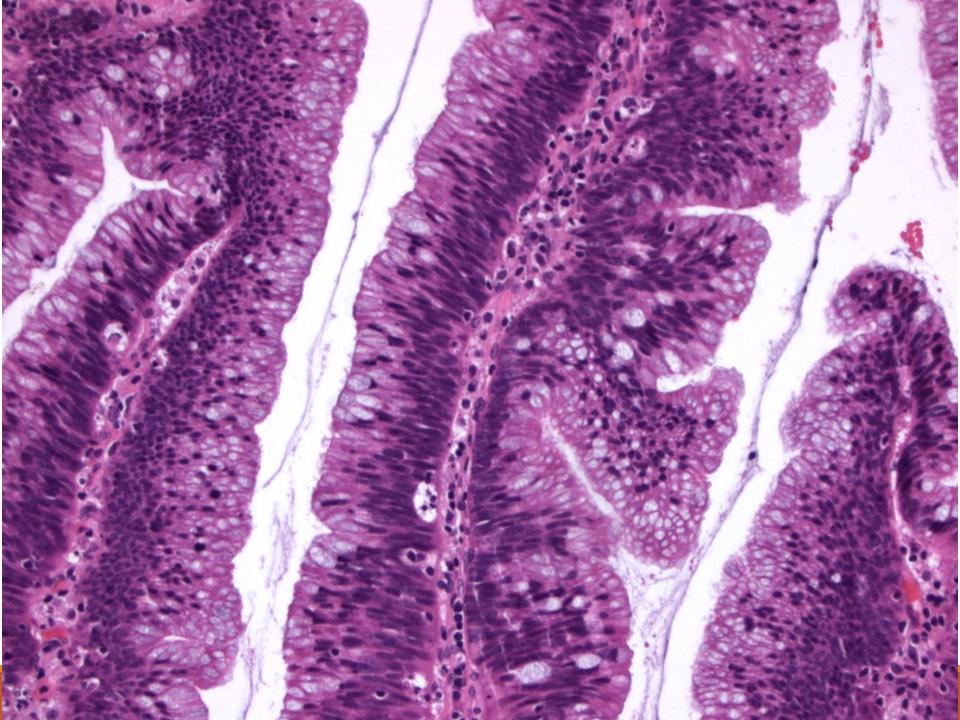
However, ectopic crypt foci are not associated with any specific molecular alteration in SAPs

In a series of SAPs diagnosed by 5 GI pathologists, ectopic crypt foci were only present in 60% of SAPs



Wiland HO 4th, et al. Am J Surg Pathol. 2014 Sep;38(9):1290-7.



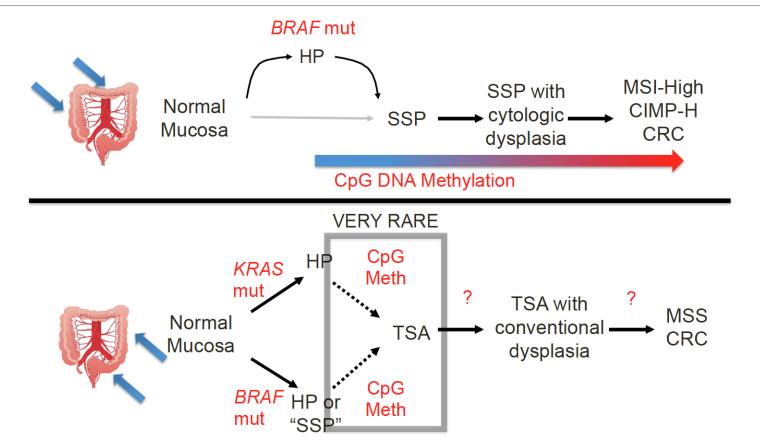


#### **Molecular Features of SAPs**

Molecular Abnormalities	No. (%)	
BRAF mutant	25 (47)	
KRAS mutant	23 (43)	
BRAF & KRAS wild-type	5 (9)	
CpG island methylation (5-marker panel)		
High (≥3)	7 (21)	
Low (1 to 2)	12 (39)	
Negative (0)	12 (39)	

Wiland HO 4th, et al. Am J Surg Pathol. 2014 Sep;38(9):1290-7.

#### Serrated Neoplasia



Distal location, protuberant/villiform

Tall columnar cells with abundant eosinophilic cytoplasm, pseudostratified nuclei

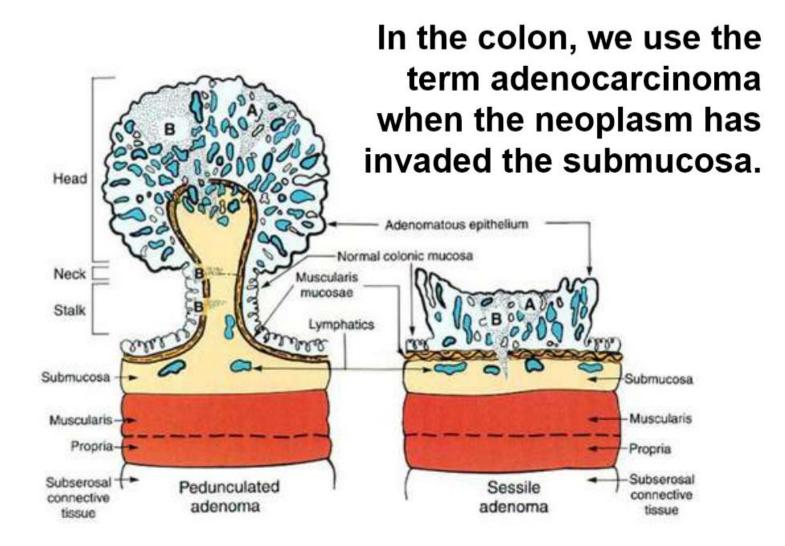
Ectopic crypts are often present but are not required for the diagnosis

>~50% BRAF, ~40% KRAS, ~10% WT/WT

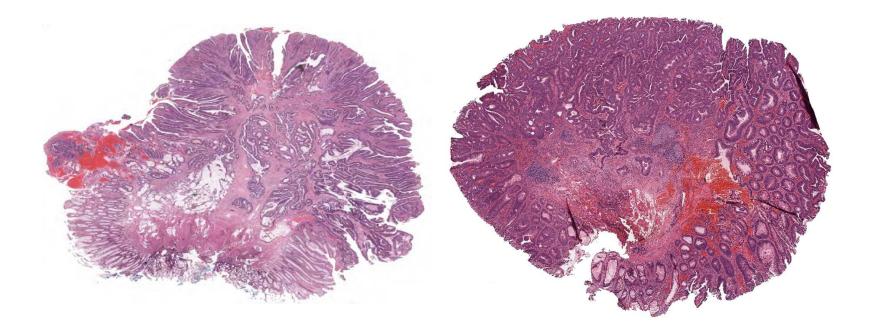
>~25% may have a non-dysplastic serrated precursor

Can develop conventional adenomatous dysplasia and give rise to colon cancer likely with low levels of CpG methylation

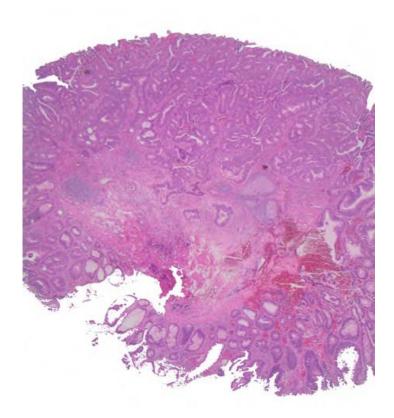
## Malignancy in Polyps

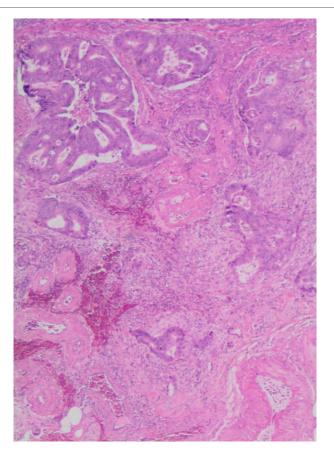


#### Adenocarcinoma in Polyp

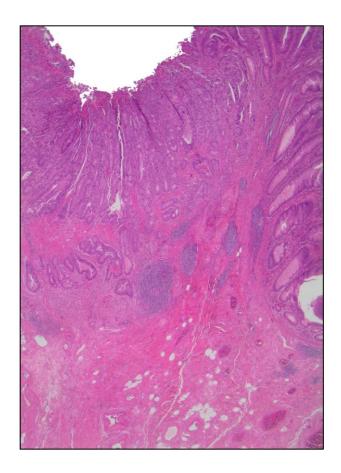


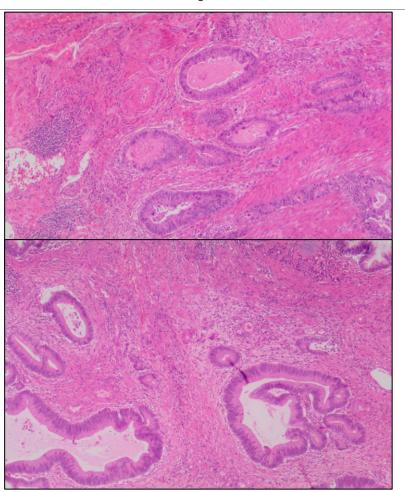
#### Adenocarcinoma in Polyp





## Muscularis mucosa, submucosal blood vessels, and desmoplasia

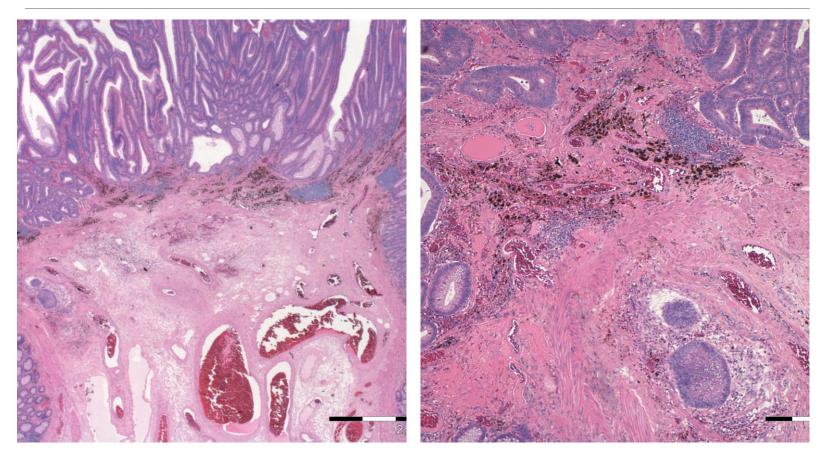




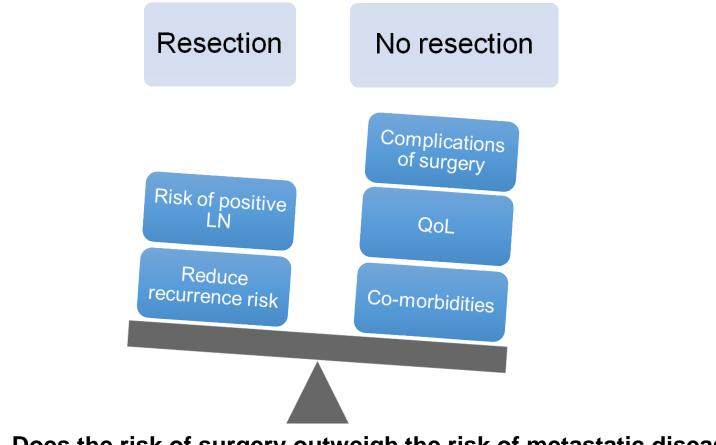
#### **Epithelial misplacement**



#### **Epithelial misplacement**



# Malignant polyps: Resect or not resect



Does the risk of surgery outweigh the risk of metastatic disease?

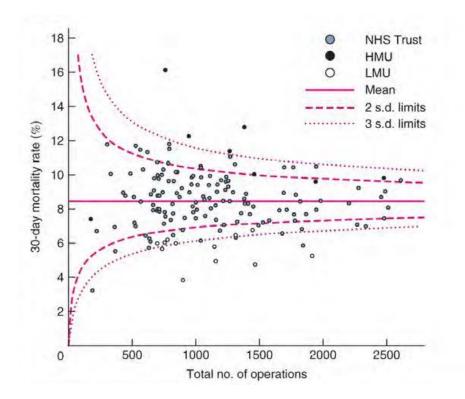
#### Resect or not resect ?



56 yr man, pT1 pN1a

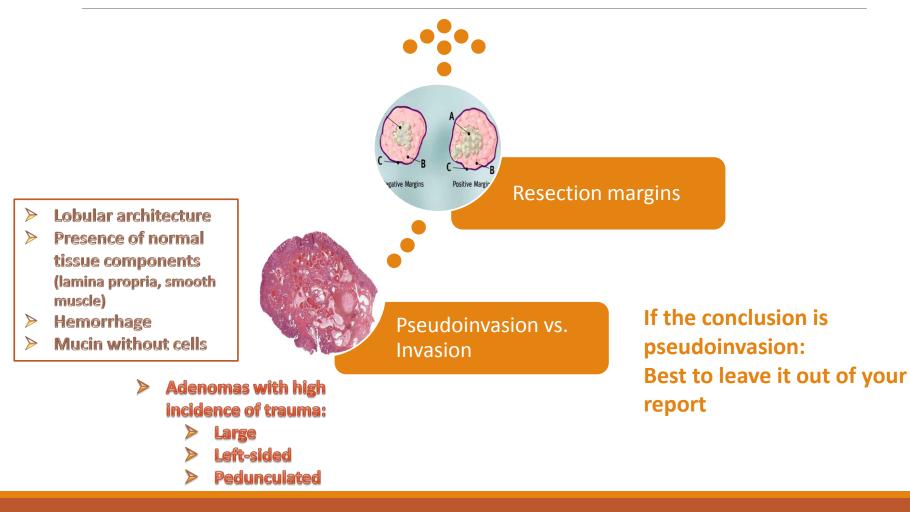
**0.8 cm** 

# 30-day mortality rate of elective hemicolectomy



Byrne BE et al. Br J Surg. 2013 Dec; 100(13): 1810–1817

If invasive carcinoma is found, REPORT IT, along with: status of the margin, grading (presence of poorly differentiated component), and lymphovascular invasion.



# Challenging areas in malignant polyps

Is the depth of invasion important?

>What about the width of the tumor at the invasive front?

Shall I always stain for lymphovascular invasion?

> How do I report completeness of excision?

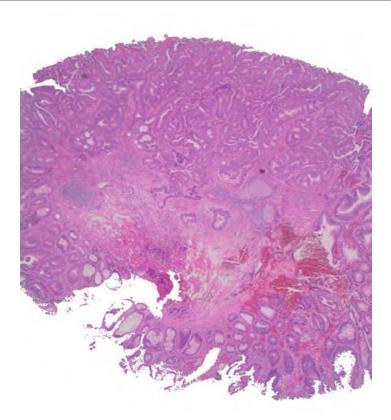
- Tumor budding is that here to stay?
  - How many fields does one have to count?
  - What is the difference between budding and poorly differentiated carcinoma?

How do I write the report/comment?

## Depth of invasion

Mentioned in several European and Japanese guidelines:

- Is this criterion alone sufficient for subsequent resection?
- >Where does one measure from?
- The tumor often obscures the MM as a starting point.
- >Is deeper worse?



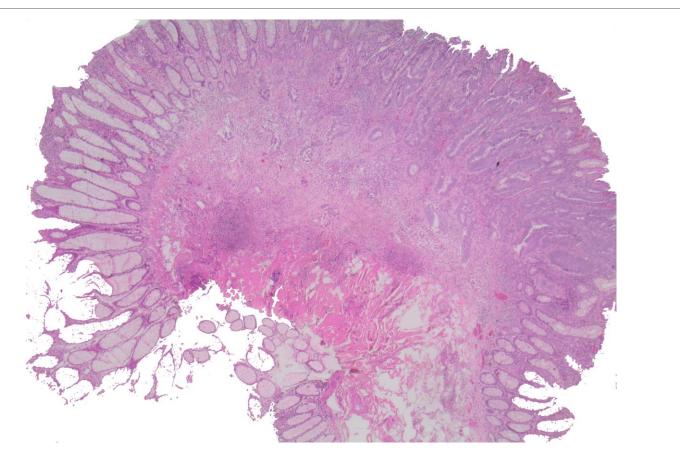
## Depth of invasion and LN mets

Depth of submucosal invasion	# of cases	Nodal involvement
< 500 µm	23	0
500 – 1000 μm	15	1 (7%)
1000 – 2000 μm	38	2 (5%)
2000 – 3000 μm	61	11 (18%)
3000 – 4000 μm	45	5 (11%)
4000 – 5000 μm	31	6 (19%)
> 5000 µm	38	8 (21%)

The odds ratio of regional nodal involvement was 5.0 (range 1.5-17.0) at a threshold of 2 mm for tumor depth.

Ueno et al. Gastroenterology 2004 127:385-394

#### Width of invasive component



### Width of invasion and LN mets

Width of submucosal invasion	# of cases	Nodal involvement
< 2000 μm	35	0
2000 ≤ X < 3000 µm	22	1 (4.5%)
3000 ≤ X < 4000 μm	24	1 (4.2%)
4000 ≤ X < 5000 μm	19	4 (21.1%)
5000 ≤ X < 6000 μm	23	4 (17.4%)
6000 ≤ X < 7000 μm	10	2 (20%)
7000 ≤ X < 8000 μm	26	4 (15.4%)
> 8000 µm	92	17 (18.5%)

The odds ratio of regional nodal involvement was 5.0 (range 4.5-21.1) at a threshold of 4 mm for tumor width.

Ueno et al. Gastroenterology 2004 127:385-394

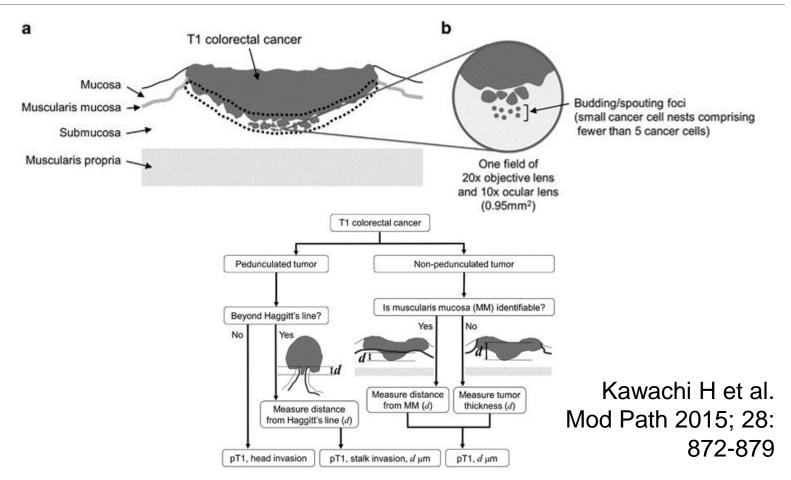
Cooper HS. Pathologic issues in the treatment of endoscopically removed malignant colorectal polyps. J Natl Compr Canc Netw. 2007 Oct;5(9):991-6.

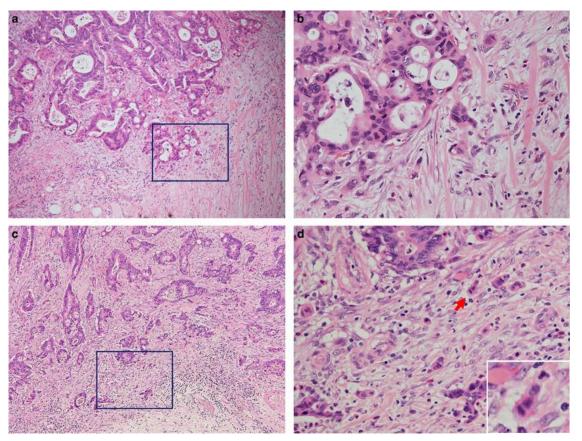
Lists the same indications for conservative management, but introduces the issue of tumor budding as an indication for surgical management.

**Geboes K et al.** Pathology of early lower GI cancer. Best Pract Res Clin Gastroenterol **2005 Dec;19(6):963-78.** 

Whenever a favourable tumour grade is found, without vascular invasion and tumour budding, there seems to be a low risk for adverse outcome and laparotomy may thus be avoided.

Ueno H, et al. Risk factors for an adverse outcome in early invasive colorectal carcinoma. Gastroenterology. 2004 Aug;127(2):385-94.

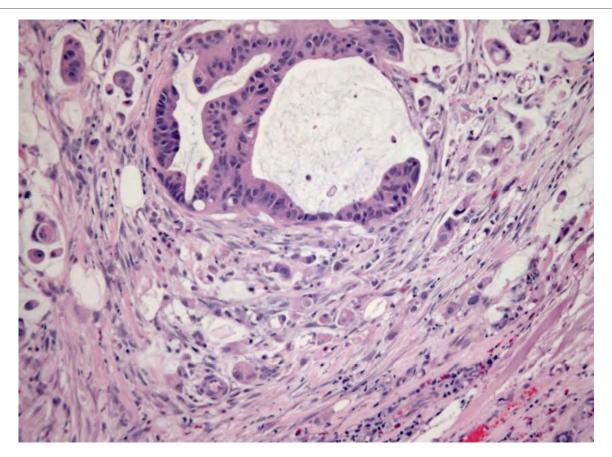




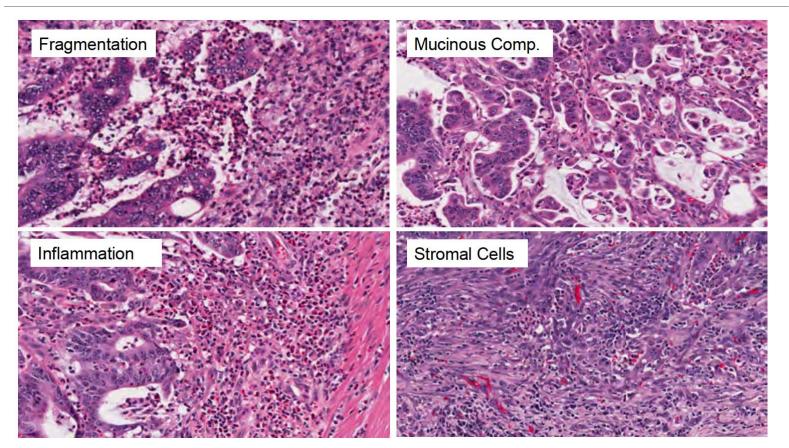
Kawachi H et al. Mod Path 2015; 28: 872-879

Histologic parameters	Odds ratio	(95% confidence interval)	P-value
Depth of submucosal invasion ≥1000 $\mu$ m	5.56	(2.14–19.10)	<0.0001
High-grade budding/sprouting (grade 2 or 3)	3.14	(1.91–5.21)	<0.0001
High histologic grade	1.88	(0.63–5.09)	0.25
Positive lymphatic invasion	1.53	(0.94–2.50)	0.09
Nonpedunculated type	1.49	(0.64–4.11)	0.37
Positive venous invasion	1.08	(0.67–1.74)	0.75

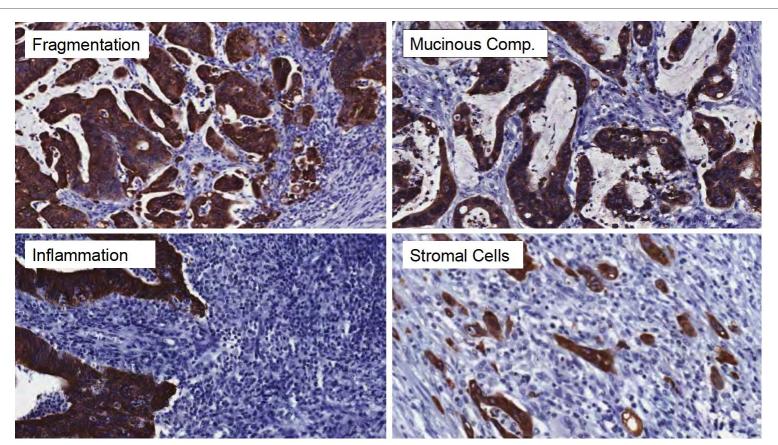
Kawachi H et al. Mod Path 2015; 28: 872-879

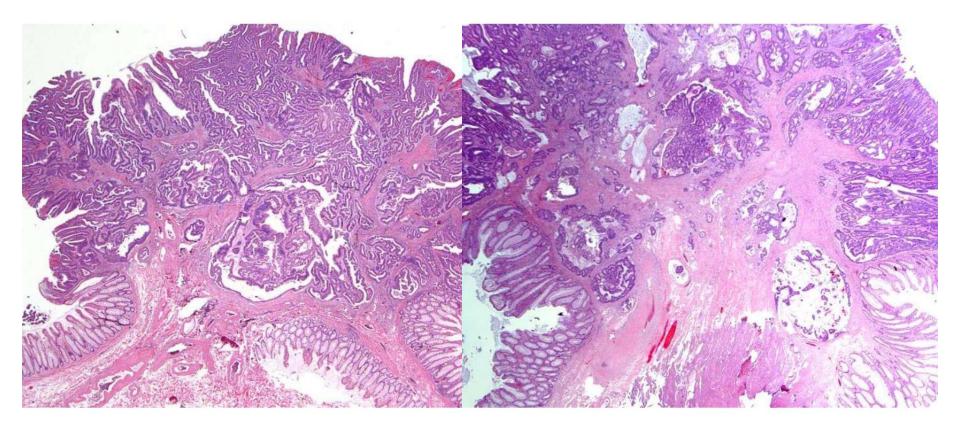


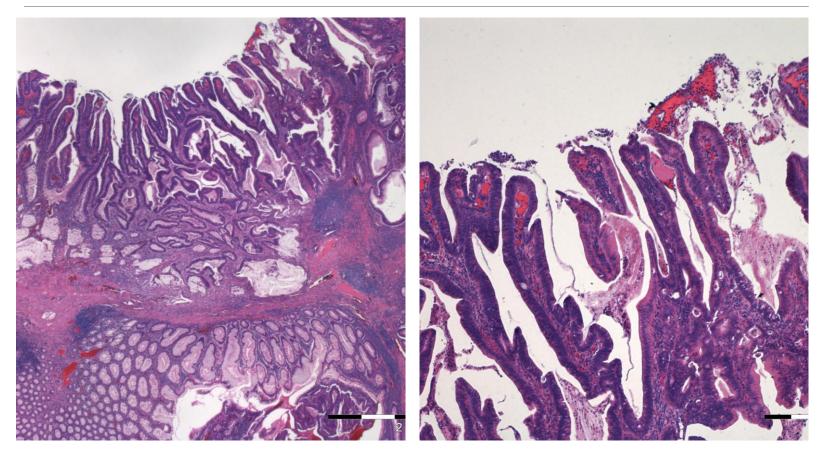
#### **Tumor Budding - Difficulties**

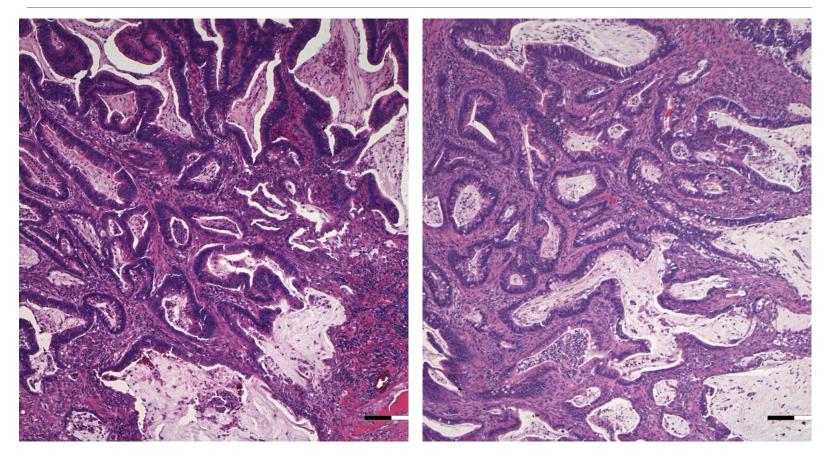


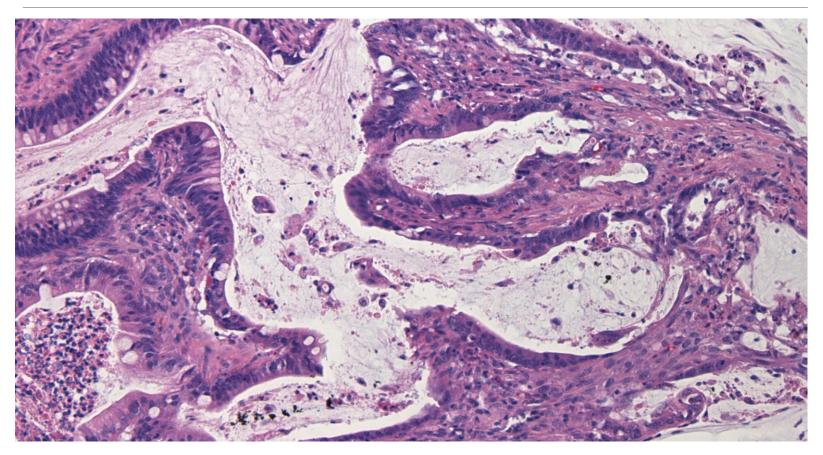
# Pancytokeratin for the Selection of the Area to Screen



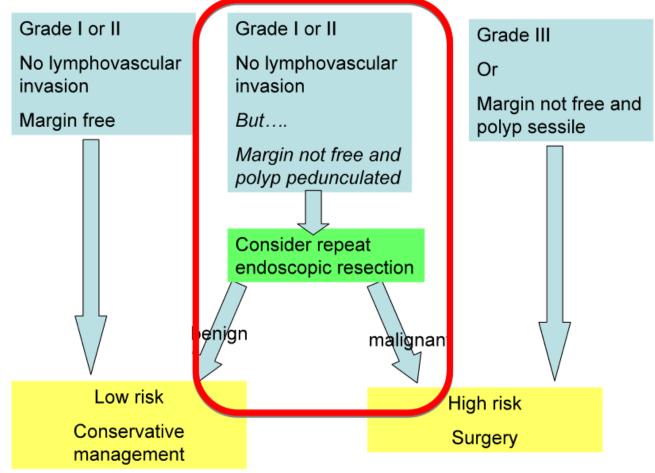


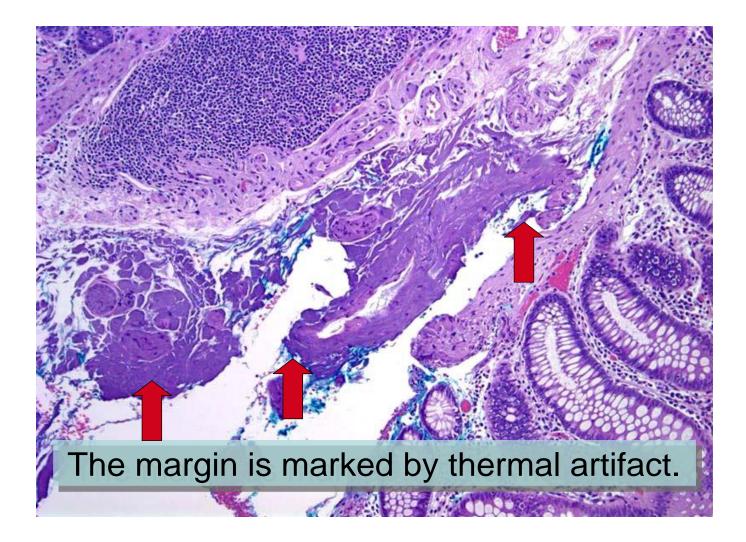




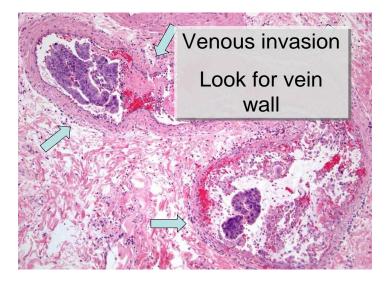


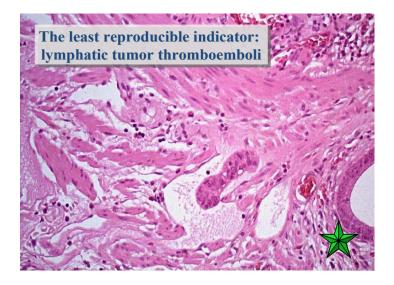
Seitz et al. Is Endoscopic Polypectomy an Adequate Therapy for Malignant Colorectal Adenomas? Presentation of 114 Patients and Review of the Literature. <u>Dis Colon Rectum.</u> 2004 Nov;47(11):1789-96; discussion 1796-7





# What is high grade carcinoma or venous invasion?





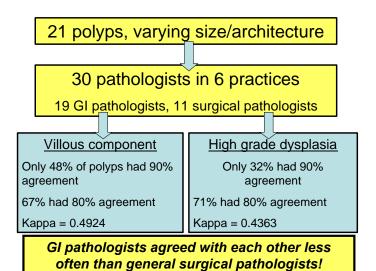
#### "Advanced" Adenoma

An "advanced adenoma" is one with villous histology or high grade dysplasia.

How much villous is enough? How much high grade is enough?

#### The guidelines provide NO definitions.

What is the chance we will all diagnose "advanced adenomas" the same way?



# Villous Architecture and Dysplasia

➢ Identification of villous architecture and highgrade dysplasia in adenomatous polyps has poor reproducibility among pathologists, whether they are GI pathologists or general surgical pathologists.

However, at the present time, the published guidelines include these histological features.

### Adenomas with Carcinoma

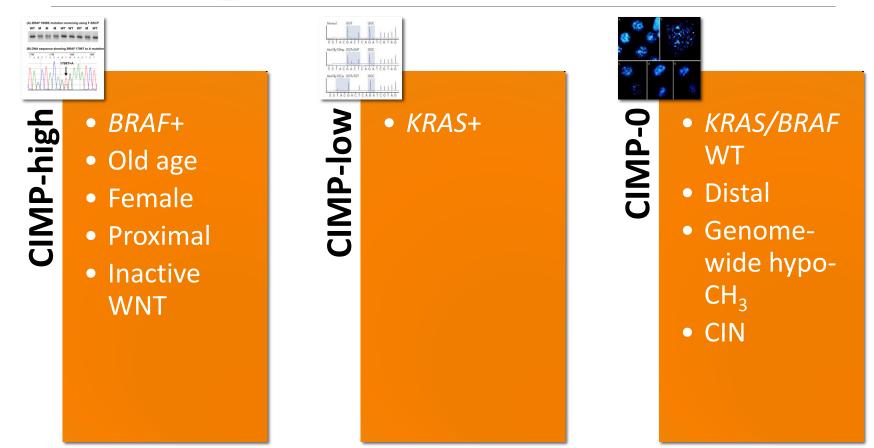
- Polyp is considered to be completely removed by endoscopist and submitted in one piece to pathologist
- Polyp is fixed and sectioned to be able to determined depth of invasion, degree of differentiation and resection margin
- **Carcinoma is not poorly differentiated**
- >There is no lymphatic or vascular invasion
- >The polypectomy margin is clear

### Key Elements

## >A big adenoma is at risk to contain carcinoma

- If it is on the left side and pedunculated, it is also at risk to contain pseudoinvasion
- Pseudoinvasion is clinically meaningless
- Invasive carcinoma is important if it extends to the margins, is poorly differentiated, and perhaps has other features like vascular invasion

#### CIMP-high vs. CIMP-low vs. CIMP-0



### CIMP in CRC

### CpG island methylator phenotype (CIMP-high)

- Non-random methylation pattern (links to BRAF mutation)
- inversely associated with genome-wide hypomethylation
- DNMT3B may contribute to CIMP-high

KRAS+ is associated with random methylation pattern (= CIMP-low)



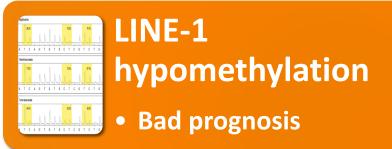
#### CIMP-high

Good prognosis



#### BRAF+

Bad prognosis

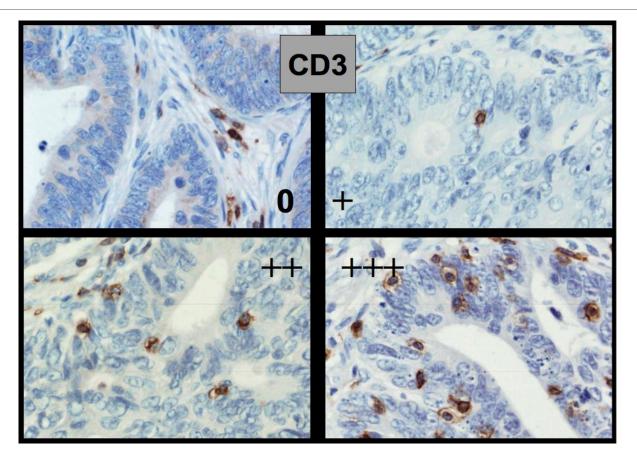


Molecular Classification of CRC			
	Meth(+)	Meth(-)	
MSI	Mol Class 2 (15%)	Mol Class 4 (5%)	
MSS	Mol Class 3 (10%)	Mol Class 1 (70%)	

#### **Molecular Classification of CRC**

	Mol Class 2	Mol Class 3	Mol Class 1	
Ploidy	Diploid		Aneuploid	
MS Profile	MSI	MSS		
Methylation	Meth(+)		Meth(-)	

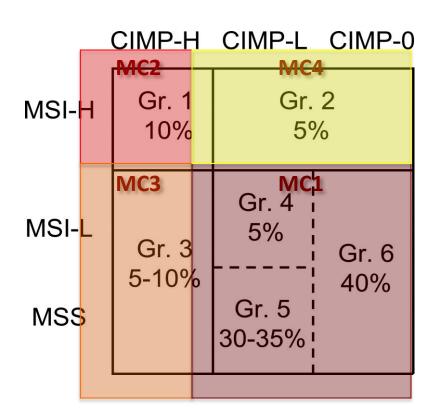
#### TILs in CRC

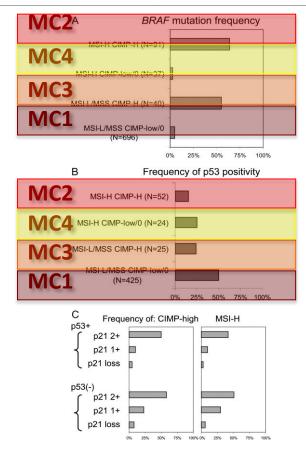


#### TIL counts in H&E sections

	Sensitivity	Specificity
3.5/5 HPF *	88%	75%
>10/5 HPF **	90%	77%
	* **	Smyrk et al, 2001 Greenson et al, 2003

#### CRC Molecular Classification: Microsatellites and Methylation

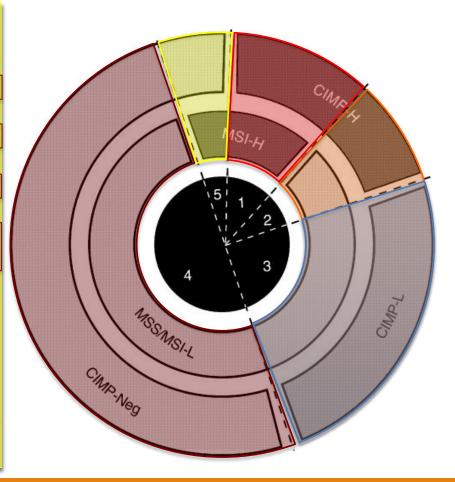




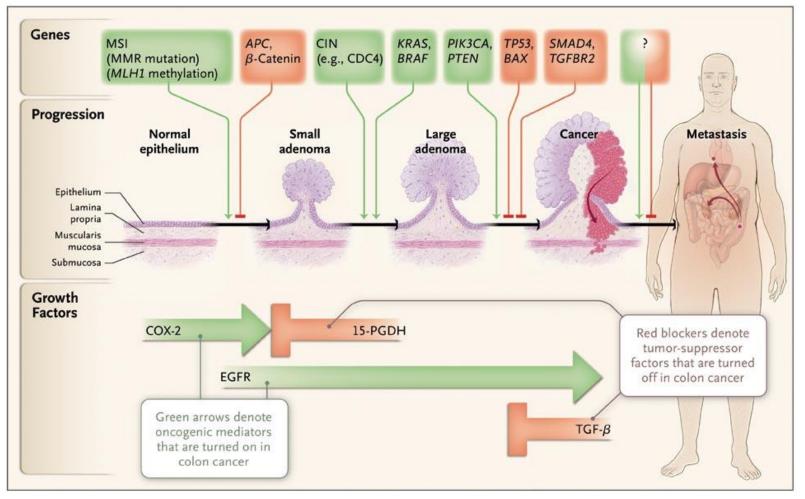
#### **Molecular Classification of CRC**

- 1. Main pathways: Adenomatous (APC  $\pm$  KRAS, hypo-CH<sub>3</sub>) and serrated (BRAF, CIMP-high)
- 2. Microsatellite profiles further subclassify each group.
- 3. CIMP-low represents a new epigenomic subtype (KRAS)

Feature	MC2	MC3		MC1	MC4
	Group 1	Group 2	Group 3	Group 4	Group 5
MSI	Н	S/L	S/L	S	н
Methylation	+++	+++	++	±	±
Ploidy	<b>D</b> > A	<b>D</b> > A	D < A	D < A	D > A
АРС	±	±	+	+++	++
KRAS	-	+	+++	++	++
BRAF	+++	++	-	-	-
TP53	-	+	++	+++	+
Precursor	SP	SP	SP/AD	AD	AD
Serration	+++	+++	+	±	±
Mucinous	+++	+++	+	+	++
Dirty necrosis	+	+	?	+++	+
Poor diff	+++	+++	+	+	++
Circumscr	+++	+	?	++	++
Tum budding	±	+	?	+++	+
TIL	+++	+	?	+	+++
Location	R > L	<b>R</b> > L	R < L	R < L	R > L
Gender	F > M	<b>F</b> > M	F < M	F < M	F < M



## Genes and Growth Factor Pathways That Drive the Progression of Colorectal Cancer



Markowitz S, Bertagnolli M. N Engl J Med 2009;361:2449-2460

## Dysplasia and Polyps of GI Tract

> Dysplastic lesions in IBD should be evaluated in the clinical context (extension, severity, and duration), gross appearances (flat or elevated), and histological subtypes (adenomatous/nonadenomatous)

- Epithelial polyps need careful evaluation on:
  - >Grading (advanced concept not yet defined)
  - Staging (definition of malignancy, extension, and resection margins)
  - Pathways of transformation (adenomatous vs. serrated)